Minor Attains Majority Request Form

SUNDARAM MUTUAL
Sundaram Finance Group

Folio Number(s):	Folio 1	Folio 2	Folio 3

This is to inform you that I, ... (First Name / Middle Name / Last Name) (**Name as per PAN card***), have attained the age of majority and you are requested to remove the Registered Guardian (Name) .... for the referred folios, kindly update my details provided below for which supporting documents are being enclosed.

I am submitting the following documents herewith:

1. Self-Attested KYC Acknowledgment.
2. Self-Attested Pan Card Copy (for date of birth and identification proof).
3. Bank Details (to update missing information or New Bank details)
4. **FATCA** (Foreign Account Tax Compliance Act) & **Additional KYC Details.**
5. Signature Attestation

1. Investor Details (Erstwhile Minor) - Mandatory

Date of Birth	D D M M Y Y Y Y
PAN & KYC	☐ Yes, I am submitting a KYC Acknowledgment
Tax Status	☐ Resident Individual ☐ NRI (Repatriable) ☐ NRI (Non-Repatriable)

2. Go Green Services: To Update Contact Details and Family Code

E-Mail*					
STD		Telephone		Mobile*	

*Please tick (✓) the Family Code for the Mobile Number and Email ID provided *Mandatory

EMAIL:	☐ Self	☐ Spouse	☐ Dependent Children	☐ Dependent Siblings	☐ Dependent Parents	☐ Guardian
MOBILE:	☐ Self	☐ Spouse	☐ Dependent Children	☐ Dependent Siblings	☐ Dependent Parents	☐ Guardian

Default Communication mode is E-mail only, if you wish to receive following document(s) via physical mode:

Please tick (✓) ☐ Annual Report ☐ Other Statutory Information

3. Bank Details (Cancelled original cheque of the new bank account with name and account number pre-printed is required to be enclosed.)

Bank Name		IFSC/RTGS Code#	
Account No.		Account Type	
Bank Branch & City			

#(11 character code printed on a cheque / passbook)

4. Additional KYC Information (Please tick (✓) whichever is applicable) - Mandatory

OCCUPATION	Private Sector Service	Public Sector Government Service	Business	Professional	Agriculturist	Retired	Student	Forex Dealer	Others	Others
1st Holder	☐	☐	☐	☐	☐	☐	☐	☐	☐	Specify

GROSS ANNUAL INCOME	Below 1 Lac	1-5 Lacs	5-10 Lacs	10-25 Lacs	> 25 Lacs - 1 Crore	> 1 Crore
1st Holder	☐	☐	☐	☐	☐	☐

PEP Status: ☐ a Politically Exposed Person ☐ Relared to a Polically Exposed Person ☐ Neither (Not Applicable)

5. FATCA-CRS DETAILS (Mandatory)

Category	First Applicant
1. Are you a Tax Resident of Country other than India?	☐ Yes ☐ No
2. Is your Country of Birth/ citizenship other than India?	☐ Yes ☐ No
3. Is your Residence address / Mailing address / Telephone No. other than in India?	☐ Yes ☐ No
If you have answered YES to any of above, please provide the below details	
Country of Tax Residence	
Nationality	
Tax Identification Number$ or Reason for not providing TIN	
Identification Type (TIN or Other, please specify)	
Residence address for tax purposes (include City, State, Country & Pin code)	
Address Type	☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office
City of birth	
Country of birth	

$ In case any of applicant being resident/ tax payer in more than one country, provide tax identification number for each of such country separately.

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6. Nomination Details - Mandatory

☐ **I / We wish to nominate.** (Proportion (%) in which units will be shared by each nominee should aggregate to 100%. In case of single nominee default proportion will be 100%.)

Mandatory Details

Particulars	Nominee 1	Nominee 2	Nominee 3
Name of the Nominee			
Relationship			
Allocation (%)**			
Address			
Mobile Number			
E-mail			
Identity Number*** [Please tick any one and provide details of same]	..... ☐ PAN ☐ Driving License Number ☐ Last 4 digits of Aadhaar ☐ Passport Number	..... ☐ PAN ☐ Driving License Number ☐ Last 4 digits of Aadhaar ☐ Passport Number	..... ☐ PAN ☐ Driving License Number ☐ Last 4 digits of Aadhaar ☐ Passport Number
Additional Details			
Date of Birth#			
Guardian Name (Optional)			

** if % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division / fraction of %, shall be transferred to the first nominee mentioned in the nomination form.

*** Investor can provide any one of the following as the identify number for the nominee(s), ***copy of the document is not required***. • PAN • Driving License Number • Last 4 digits of Aadhaar • Passport Number

Mandatory only if the nominee is minor.

I/We want the details of my/our nominee to be printed in the statement of holding, provided to me/us by the AMC/DP as follows (Please tick, as appropriate)
☐ Name of nominee(s) ☐ Nomination: Yes/No

I hereby authorize .....to operate my account on my behalf, in case of my incapacitation. He/She is authorized to encash my assets up to .....% of assets in the account / folio or Rs.....(Optional).

☐ **I / We DO NOT wish to nominate.**

Nomination Declaration: I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

7. Declaration and Signature of the Applicant - Mandatory

I have attached herewith all the relevant / required documents as indicated.

I confirm that the information provided above is true and correct to the best of my knowledge and belief.

I undertake to keep Sundaram Mutual Fund / its AMC/RTA informed about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required by the AMC / RTAs.

I hereby authorize Sundaram Mutual Fund and its AMC/RTA to share/disclose any of the information provided by me/us, including any changes in respect thereof to the Mutual Fund's Bankers or my Distributor / Investment Advisor and to such other service providers as may be necessary for any operational reason, including to verify/validate my / our bank account details. I / We also authorize Sundaram Mutual Fund & its AMC/RTA to provide/share any of the information provided by me/us including my holdings in the Mutual Fund to any governmental or statutory or judicial authorities/agencies as required by law without any obligation of informing me/us of the same.

Place_____ **Date** _____ **Signature of Applicant** _____

8. Signature Attestation

My signature hereinbelow has been attested by ☐ the Guardian on record ☐ My bankers ☐ Notary / JMFC

Signature of First Holder (Major)	Attestation by Guardian**	Attestation by Registered Bank/ Notary/ Judicial Magistrate First Class
Name of the first holder	**Registered Guardian's Name**	**Seal with attester's name, designation and employee number**

Mutual fund investments are subject to market risks, read all scheme related documents carefully before investing.

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Please refer the instructions given below

Instruction: Update of PAN/KYC

In case of Registration of PAN / KYC (Know Your Client), Mutual funds shall collect the following supporting documents:

- Self-attested PAN Copy in case of registration of PAN.
- Self-attested KYC acknowledgement copy in case of registration of KYC. For those who are not KYC compliant, please refer the below links to download KYC forms: https://www.sundarammutual.com/pdf2/kyc/KYC_Fatca_Individual_Aug_2024.pdf

Instruction: To Update Contact Details and Family Code

Go Green E-Update Services: By providing details of your email address and mobile number with family code, you will receive your account statement and important alerts by paperless mode, in an efficient and timely manner. You would also be contributing to the environment. The investor is deemed to be aware of security risks including interception of documents and availability of content to third parties.

Instruction: Bank Details

For Change of Bank Mandate, the investor should submit:

Existing Bank details (any one of the below) #	New Bank Details (any one of the below)
(1) Cancelled original cheque (bearing account number and name on the face of the cheque); (2) Self-attested copy of bank statement; (3) Self-attested old Bank passbook with entries for 3 months prior to closure date; (4) Original letter by the existing Bank on their letter head duly signed and stamped by branch manager/authorised officials; (5) In case such bank account is already closed, a duly signed and stamped original letter from the bank confirming the closure of bank account. (copy enclosed)	(1) Cancelled original cheque of the new bank account with name and account number printed thereon; (2) Self-attested copy of bank statement; (3) Self-attested bank passbook with current entries not older than 3 months; (4) Original letter by the Bank on their letter head duly signed and stamped by branch manager/authorised officials.

#In case of non-availability of above documents, investor should give declaration. Please download the Declaration form from our website: https://www.sundarammutual.com/pdf2/2018/App_form/COB_Declaration.pdf

#In addition, the investor should provide a self attested copy of any one of the ID proof with address viz. PAN, Passport, driving license etc.

Remarks:

a. Separate forms need to be filled for separate folios of the investor.

b. In the event of a request being invalid/incomplete/ signature mismatch etc the request will be liable for rejection.

Cooling Period:

Redemption pay-out will be processed after a 10 days' cooling period whenever any change of bank mandate request is received simultaneously with, or just prior to submission of, a redemption request, as a matter of precaution against unauthorized/fraudulent transactions.

Instruction: FATCA CRS Details

Details under FATCA-CRS/Foreign Tax Laws: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income Tax Rules 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities/appointed agencies. If you have any questions about your tax residency, please contact your tax advisor. Should there be any **change in any information provided by you, please ensure you advise us** promptly, **i.e., within 30 days**. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s).

If you are a US citizen or resident or greencard holder, please include United States in the Country of Tax Residence field along with your US Tax Identification Number. Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.

$ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation with supporting documents and attach this to the form.

Instruction: Nominee Details

Please indicate a nominee who should be entitled to the benefits of your investment in the event of an untoward development. Nomination form can be signed by the unit holder(s) as per the mode of holding registered in the folio. 'Either or Survivor' / Any one or Survivor Folios - any one of the holders can sign and the signature should match with our records. 'First holder' Folios / Single - only First holder can sign and the signature should tally with our records 'Jointly' Folios - all holders have to sign and the signatures should tally with our records Every new nomination for a folio/account will overwrite the existing nomination. Nomination is not applicable in case of non-individuals or when the account/folio is held on behalf of a minor. Nomination forms cannot be signed by Power of Attorney Holders.

Mutual fund investments are subject to market risks, read all scheme related documents carefully before investing.