Nomination
Change of Existing Nomination / Cancellation of Nomination

SUNDARAM MUTUAL
Sundaram Finance Group

Applicable for Individual Unitholders only - whether holding Units Singly or Jointly with other holders
Please read the instructions carefully before filling up this form

Folio No 1		Folio No 2		Folio No 3	

Note: Updation of nomination in the folio is subject to the **investor's consent** through the link sent to the mobile number or email ID registered in the KYC/folio records.

Name of 1st Holder........FIRST........MIDDLE........LAST........

I/We, the above named Unitholders of........Mutual Fund, do hereby

☐ Nominate the person(s) more particularly described hereunder to receive the Units held my/our Folio/s listed below in the event of my / our death and/or

☐ Cancel the nomination(s) made by me / us previously in respect of the units held by me/ us in the Folio/s listed below (tick whichever is applicable).

☐ **I / We wish to nominate.** (Proportion (%) in which units will be shared by each nominee should aggregate to 100%. In case of single nominee default proportion will be 100%.)

Mandatory Details

Particulars	Nominee 1	Nominee 2	Nominee 3
Name of the Nominee			
Relationship			
Date of Birth#			

Mandatory only if the nominee is minor.
I/We want the details of my/our nominee to be printed in the statement of holding, provided to me/us by the AMC as follows (Please tick, as appropriate) ☐ Name of nominee(s) ☐ Nomination: Yes/No

Additional Details

	Nominee 1	Nominee 2	Nominee 3
Guardian Name (Optional)			
Allocation (%)**			
Mobile Number^			
E-mail^			
Identity Number***^ [Please tick any one and provide details of same]	.... ☐ PAN ☐ Driving License Number ☐ Last 4 digits of Aadhaar ☐ Passport Number	.... ☐ PAN ☐ Driving License Number ☐ Last 4 digits of Aadhaar ☐ Passport Number	.... ☐ PAN ☐ Driving License Number ☐ Last 4 digits of Aadhaar ☐ Passport Number

** if % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division / fraction of %, shall be transferred to the first nominee mentioned in the nomination form.
*** Investor can provide any one of the following as the identify number for the nominee(s), ***copy of the document is not required.*** • PAN • Driving License Number • Last 4 digits of Aadhaar • Passport Number
^ The aforesaid details shall be optionally provided for the Guardian, in case of nominee is a minor. Please note that the MF RTA will be able to reach out to your nominee if you provide the contact details.

☐ **I / We DO NOT wish to nominate.**
Nomination Declaration: I hereby confirm that I do not wish to appoint any nominee(s) to my mutual fund folio at this point of time.
I understand that – **(i)** the nomination helps to quickly identify the person for transfer of securities and helps in faster and smoother transmission of my securities to my legal heir(s) after my demise. **(ii)** in the absence of a nomination, my legal heir(s) may require the submission of certain additional legal or court-issued documents which may delay the process of transmission of securities to my legal heir(s). **(iii)** if no claim is made on the account / folio for a prolonged period after my demise, the holdings may be treated as unclaimed assets and they may be transferred to Investor Education and protection Fund Authority (IEPF) in accordance with the applicable regulatory framework. I confirm that I have understood the above implications and that my decision to opt out of nomination is voluntary.

DECLARATION & SIGNATURE(S)
I / We have read the terms and conditions for nomination and hereby nominate the above nominee(s) to receive all the amounts to my / our credits in the event of my / our death. Signature of the nominee(s) acknowledging receipt of my / our credit will constitute full discharge of liabilities in Sundaram Mutual Fund.

	Sole / First Holder	Second Holder	Third Holder
Name			
Signature / Thumb^ Impression of holder			
Witness 1: Name & Address			
Witness 1 Signature:			
Witness 2: Name & Address			
Witness 2 Signature:			

^ Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

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Instructions

1. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
2. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
3. Nomination is not allowed in a folio of a Minor unitholder.
4. A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be provided.
5. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
6. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
7. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
8. Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of 3 nominees.

   In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding up to a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. If the percentage of allocation/ share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees.
9. Every new nomination for a folio/account shall overwrite the existing nomination, if any.
10. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
11. Nomination shall stand rescinded upon the transfer of units.
12. Cancellation of Nomination: Request for cancellation of Nomination made can be made only by the unitholders. The nomination shall stand rescinded on cancellation of the nomination and the AMC shall not be under any obligation to transfer / transmit the units in favour of the Nominee.

    Upon cancellation, the nominee named shall cease to be entitled to their share, and the remaining nominee(s), if any, shall be entitled to receive the cancelled nominee's share in equal proportion.
13. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
14. Signature of all the joint holders are required for providing / changing nomination regardless of mode of holding.