

Nomination

Change of Existing Nomination / Cancellation of Nomination



SUNDARAM MUTUAL
— Sundaram Finance Group —

Applicable for Individual Unitholders only - whether holding Units Singly or Jointly with other holders
Please read the instructions carefully before filling up this form

Folio No 1		Folio No 2		Folio No 3	
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Note: Update of nomination in the folio is subject to the **investor's consent** through the link sent to the mobile number or email ID registered in the KYC/folio records.

Name of 1st Holder **FIRST** **MIDDLE** **LAST**

I/We, the above named Unitholders of.....Mutual Fund, do hereby

- Nominate the person(s) more particularly described hereunder to receive the Units held my/our Folio/s listed below in the event of my / our death and/or
- Cancel the nomination(s) made by me / us previously in respect of the units held by me/ us in the Folio/s listed below (tick whichever is applicable).
- I / We wish to nominate.** (Proportion (%) in which units will be shared by each nominee should aggregate to 100%. In case of single nominee default proportion will be 100%.)

	Particulars	Nominee 1	Nominee 2	Nominee 3
Mandatory Details	Name of the Nominee			
	Relationship			
	Allocation (%)**			
	Address			
	Mobile Number			
	E-mail			
	Identity Number*** (Please tick any one and provide details of same)	<input type="checkbox"/> PAN <input type="checkbox"/> Driving License Number <input type="checkbox"/> Last 4 digits of Aadhaar <input type="checkbox"/> Passport Number	<input type="checkbox"/> PAN <input type="checkbox"/> Driving License Number <input type="checkbox"/> Last 4 digits of Aadhaar <input type="checkbox"/> Passport Number	<input type="checkbox"/> PAN <input type="checkbox"/> Driving License Number <input type="checkbox"/> Last 4 digits of Aadhaar <input type="checkbox"/> Passport Number
Additional Details				
Date of Birth#				
Guardian Name (Optional)				

** if % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division / fraction of %, shall be transferred to the first nominee mentioned in the nomination form.

*** Investor can provide any one of the following as the identity number for the nominee(s), **copy of the document is not required.** • PAN • Driving License Number • Last 4 digits of Aadhaar • Passport Number

Mandatory only if the nominee is minor.

I/We want the details of my/our nominee to be printed in the statement of holding, provided to me/us by the AMC/DP as follows (Please tick, as appropriate)

- Name of nominee(s) Nomination: Yes/No
- I / We DO NOT wish to nominate.**

Nomination Declaration: I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

DECLARATION & SIGNATURE(S) – As per Mode of Holding^ in Demat Accounts / MF Folio(s)

I / We have read the terms and conditions for nomination and hereby nominate the above nominee(s) to receive all the amounts to my / our credits in the event of my / our death. Signature of the nominee(s) acknowledging receipt of my / our credit will constitute full discharge of liabilities in Sundaram Mutual Fund.

	Sole / First Holder	Second Holder	Third Holder
Name			
Signature / Thumb^ Impression of holder			
Witness 1: Name & Address			
Witness 1 Signature:			
Witness 2: Name & Address			
Witness 2 Signature:			

^ Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

Contact No. 1860 425 7237 (India) +91 40 2345 2215 (NRI) • E-mail: customerservices@sundarammutual.com (NRI); nriseservices@sundarammutual.com

Instructions

1. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
2. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
3. Nomination is not allowed in a folio of a Minor unitholder.
4. A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be provided.
5. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
6. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
7. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
8. Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of 3 nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding up to a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. If the percentage of allocation/ share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees.
9. Every new nomination for a folio/account shall overwrite the existing nomination, if any.
10. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
11. Nomination shall stand rescinded upon the transfer of units.
12. Cancellation of Nomination: Request for cancellation of Nomination made can be made only by the unitholders. The nomination shall stand rescinded on cancellation of the nomination and the AMC shall not be under any obligation to transfer / transmit the units in favour of the Nominee.
Upon cancellation, the nominee named shall cease to be entitled to their share, and the remaining nominee(s), if any, shall be entitled to receive the cancelled nominee's share in equal proportion.
13. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
 - The signatories for this nomination form in joint folios / account shall be the same as that of your joint MF folio / demat account. i.e.
 - o 'Either or Survivor' Folios / Accounts - any one of the holder can sign
 - o 'Jointly' Folios / Accounts - both holders have to sign
 - o 'First holder Folios / Accounts – only First Holder can sign.

Transmission aspects

- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account / folio.
- In case all your nominees do not claim the assets from the AMC / DP, then the residual unclaimed asset shall continue to be with the AMC in case of MF units and with the concerned Depository in case of Demat account.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.
- Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed on pro-rata basis (as illustrated below) amongst the surviving nominees. Nominee's legal heir cannot claim the assets on behalf of deceased Nominee(s).
- Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).

% share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'			
Nominee	% share	Nominee	% initial share	% of A's share to be apportioned	Total % share
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	100%	-	40%	60%	100%