



### 5. Nomination Details (Refer Instruction 5)

I / We wish to nominate. (Proportion (%) in which units will be shared by each nominee should aggregate to 100%. In case of single nominee default proportion will be 100%.)

Mandatory Details	Particulars	Nominee 1	Nominee 2	Nominee 3
	Name of the Nominee			
	Relationship			
	Allocation (%)**			
	Address			
	Mobile Number			
	E-mail			
	Identity Number*** [Please tick any one and provide details of same]	<input type="checkbox"/> PAN <input type="checkbox"/> Driving License Number <input type="checkbox"/> Last 4 digits of Aadhaar <input type="checkbox"/> Passport Number	<input type="checkbox"/> PAN <input type="checkbox"/> Driving License Number <input type="checkbox"/> Last 4 digits of Aadhaar <input type="checkbox"/> Passport Number	<input type="checkbox"/> PAN <input type="checkbox"/> Driving License Number <input type="checkbox"/> Last 4 digits of Aadhaar <input type="checkbox"/> Passport Number
<b>Additional Details</b>				
Date of Birth#				
Guardian Name (Optional)				

\*\* if % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division / fraction of %, shall be transferred to the first nominee mentioned in the nomination form.

\*\*\* Investor can provide any one of the following as the identify number for the nominee(s), **copy of the document is not required.** • PAN • Driving License Number • Last 4 digits of Aadhaar • Passport Number # Mandatory only if the nominee is minor.

I/We want the details of my/our nominee to be printed in the statement of holding, provided to me/us by the AMC/DP as follows (Please tick, as appropriate)  Name of nominee(s)  Nomination: Yes/No I hereby authorize ..... to operate my account on my behalf, in case of my incapacitation. He/She is authorized to encash my assets up to .....% of assets in the account / folio or Rs.....(Optional).

I / We DO NOT wish to nominate.

**Nomination Declaration:** I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

Name of First / Sole Applicant / Guardian	Name of Second Applicant	Name of Third Applicant
.....	.....	.....

Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

Please refer the instructions given below

#### Instruction 1

For Change of Bank Mandate the investor should submit:

Existing Bank details# (any one of the below)	New Bank Details (any one of the below)
(1) Cancelled original cheque (bearing account number and name on the face of the cheque); (2) Original Bank statement; (3) Old Bank passbook with entries for 3 months prior to closure date; (4) Original letter by the existing Bank on their letter head duly signed and stamped by branch manager/authorised officials; (5) In case such bank account is already closed, a duly signed and stamped original letter from the bank confirming the closure of bank account. (copy enclosed)	(1) Cancelled original cheque of the new bank account with name and account number printed thereon; (2) Self-attested copy of bank statement; (3) Bank passbook with current entries not older than 3 months; (4) Original letter by the Bank on their letter head duly signed and stamped by branch manager/authorised officials.

# In case of non-availability of any of these documents, a self-attested copy of the bank pass book or a statement of bank account with

entries for latest 3 months prior to the closure and having the name and address and account number will be required;

# In case of non-availability of above documents and self-attested copy of pass book or statement of bank account, investor should give declaration. Please download the Declaration form from our website [https://www.sundarammutual.com/pdf/2018/App\\_form/COB\\_Declaration.pdf](https://www.sundarammutual.com/pdf/2018/App_form/COB_Declaration.pdf)

# In addition, the investor should provide a self attested copy of any one of the ID proof with address viz. PAN, Passport, driving license etc.

#### Remarks:

a. Separate forms needs to be filled for separate folios of the investor.

b. In the event of a request for change in bank account information being invalid/incomplete/ not satisfactory in respect of signature mismatch/document insufficient/not meeting any requirement more specifically as indicated in clauses, the request will be liable for rejection.

#### Cooling Period

Redemption pay-out will be processed after a 10 days cooling period whenever any change of bank mandate request is received simultaneously with, or just prior to submission of, a redemption request, as a matter of precaution against unauthorized/fraudulent transactions.

#### Instruction 2

**Go Green E-Update Services:** By providing details of your personal email address, you will receive your account statement by paperless mode via email, in an efficient and timely manner. You would also be contributing to the

environment. The investor is deemed to be aware of security risks including interception of documents and availability of content to third parties. Sundaram Asset Management provides interesting information on the economy, markets and funds. Further, by providing your mobile number, you can avail of instant SMS alerts for your transactions.

#### Instruction 3

In case of Registration of PAN / KYC, Mutual funds shall collect the following supporting documents:

- Self attested PAN Copy in case of registration of PAN along with a written and duly signed request for registration of PAN
- Self attested KYC acknowledgement copy in case of registration of KYC.

#### Instruction 4

Applicable only if there are more than one applicant in the Folio. All unit holders need to sign irrespective of mode of holding.

#### Instruction 5

Please indicate a nominee who should be entitled to the benefits of your investment in the event of an untoward development. Nomination form can be signed by the unit holder(s) as per the mode of holding registered in the folio.

'Either or Survivor' / Any one or Survivor Folios - any one of the holders can sign and the signature should match with our records.

'First holder' Folios / Single - only First holder can sign and the signature should tally with our records 'Jointly' Folios - all holders have to sign and the signatures should tally with our records Every new nomination for a folio/account will overwrite the existing nomination. Nomination is not applicable in case of non-individuals or when the account/folio is held on behalf of a minor. Nomination forms cannot be signed by Power of Attorney Holders.