

Sundaram Fixed Term Plan-AJ

A closed-end income scheme

New Fund Offer Period Opens on: 27/12/2010

New Fund Offer Period Closes on: 07/01/2011

The following lists of schemes will be launched as per records given below

Scheme Name	Tenure	Launching Month	NFO Opening	NFO Closing
Sundaram FTP-AH	15 Months	Dec-10	27-Dec-10	31-Dec-10
Sundaram FTP-AJ	24 Months	Dec-10	27-Dec-10	7-Jan-11
Sundaram FTP-AL	30 Months	Jan-11	3-Jan-11	14-Jan-11
Sundaram FTP-AI	15 Months	Jan-11	10-Jan-11	14-Jan-11
Sundaram FTP-AM	30 Months	Jan-11	10-Jan-11	21-Jan-11
Sundaram FTP-AF	14 Months	Feb-11	1-Feb-11	4-Feb-11
Sundaram FTP-AG	14 Months	Feb-11	14-Feb-11	18-Feb-11
Sundaram FTP-AD	13 Months	Feb-11	16-Feb-11	1-Mar-11
Sundaram FTP-AK	24 Months	Feb-11	16-Feb-11	1-Mar-11
Sundaram FTP-AE	13 Months	Feb-11	16-Feb-11	1-Mar-11

Investors are please to note that, if any changes in the above table will be intimated accordingly.

Mutual Fund Investments are subject to market risks. Please read the Statement of Additional Information (SAI) and Scheme Information Document (SID) carefully before investing.

Key Information Memorandum

Sundaram Fixed Term Plan-AJ

Sundaram Asset Management-Investment Manager for Sundaram Mutual Fund

A Closed-End Income Scheme

New Fund Offer Period Opens on: 27/12/2010

New Fund Offer Period Closes on: 07/01/2011

Being a closed-end Scheme, investors can subscribe to the Units of the Scheme during the New Fund Offer Period only and the Scheme will not reopen for subscriptions after the closure of NFO. The Fund does not intend to buy the units back till the maturity of the Scheme. However, in order to provide the liquidity to the investors, the units of the Scheme are proposed to be listed on National Stock Exchange of India (NSE) immediately on allotment. Hence, Investors who want to liquidate their units of the Scheme can sell the units in the secondary market. The Trustee reserves the right to extend the closing date of the New Fund Offer period subject to the condition that the subscription list shall not be kept open for more than 15 days. A notice will be issued for any such extension. The Trustee also reserves the right to close the subscription list at an earlier date that indicated by giving a prior notice of at least one day in one daily newspaper.

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. **For further details of the Scheme / Mutual Fund, Due Diligence Certificate by the Investment Manager, Key Personnel, investor's rights & services, Risk Factors, Penalties & Pending Litigations, Associate Transactions etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or online at www.sundarammutual.com.**

The Scheme particulars have been prepared in accordance with the Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended till date, and filed with the Securities and Exchange Board of India (SEBI). The units being offered for the public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This KIM is dated 01/12/2010.

Please read the Scheme Information Document carefully before filling in the application form. Applicants are deemed to have understood the terms subject to which this offer is being made, by filling and signing this application form.

Name of the Scheme	<p>Sundaram Fixed Term Plan-AJ (part of a series Sundaram Fixed Term Plan – AD-AS, a closed-end income scheme comprising of 16 Plans viz, AD, AE, AF, AG, AH, AI, AJ, AK, AL, AM, AN, AO, AP, AQ, AR, AS. Each series under the scheme shall have a separate portfolio). The New Fund Offer for Sundaram Fixed Term Plan-AJ will commence on 27/12/2010 and closes on 07/01/2011. Details of Maturity Period Sundaram Fixed Term Plan – AD-AS</p> <table border="1"> <thead> <tr> <th>Scheme Name</th> <th>Tenure</th> <th>Scheme Name</th> <th>Tenure</th> </tr> </thead> <tbody> <tr> <td>Sundaram FTP – AD</td> <td>13 Months</td> <td>Sundaram FTP – AL</td> <td>30 Months</td> </tr> <tr> <td>Sundaram FTP – AE</td> <td>13 Months</td> <td>Sundaram FTP – AM</td> <td>30 Months</td> </tr> <tr> <td>Sundaram FTP – AF</td> <td>14 Months</td> <td>Sundaram FTP – AN</td> <td>367 Days</td> </tr> <tr> <td>Sundaram FTP – AG</td> <td>14 Months</td> <td>Sundaram FTP – AO</td> <td>367 Days</td> </tr> <tr> <td>Sundaram FTP – AH</td> <td>15 Months</td> <td>Sundaram FTP – AP</td> <td>367 Days</td> </tr> <tr> <td>Sundaram FTP – AI</td> <td>15 Months</td> <td>Sundaram FTP – AQ</td> <td>367 Days</td> </tr> <tr> <td>Sundaram FTP – AJ</td> <td>24 Months</td> <td>Sundaram FTP – AR</td> <td>367 Days</td> </tr> <tr> <td>Sundaram FTP – AK</td> <td>24 Months</td> <td>Sundaram FTP – AS</td> <td>367 Days</td> </tr> </tbody> </table> <p>The scheme shall commence at any time within six months from the date of SEBI clearance letter for the scheme information document. Each series will be identified at the time of launch.</p>			Scheme Name	Tenure	Scheme Name	Tenure	Sundaram FTP – AD	13 Months	Sundaram FTP – AL	30 Months	Sundaram FTP – AE	13 Months	Sundaram FTP – AM	30 Months	Sundaram FTP – AF	14 Months	Sundaram FTP – AN	367 Days	Sundaram FTP – AG	14 Months	Sundaram FTP – AO	367 Days	Sundaram FTP – AH	15 Months	Sundaram FTP – AP	367 Days	Sundaram FTP – AI	15 Months	Sundaram FTP – AQ	367 Days	Sundaram FTP – AJ	24 Months	Sundaram FTP – AR	367 Days	Sundaram FTP – AK	24 Months	Sundaram FTP – AS	367 Days
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Structure of the Scheme	A closed-end income Scheme with a tenure (as specified above) from the date of allotment. If the maturity date is not a working day, the subsequent working day shall be considered as the maturity date.																																						
Investment Objective	The objective of the Scheme would be to generate income with minimum volatility by investing in debt and money market securities, which mature on or before the maturity of the scheme.																																						
Asset Allocation	<p>Types of Instruments</p> <p>Money market instruments</p> <p>Short term and medium term debt instruments and securitised debt*</p>	<p>Normal Allocation (% of Net Assets)</p> <p>Upto 100%</p> <p>Upto 100%</p>	<p>Risk Profile</p> <p>Low to medium</p> <p>Low to medium</p>																																				
Investment Strategy	<p>*Investments in securitised debts can be up to 30% of the net assets. The gross exposure to debt, money market instruments and derivatives shall not exceed 100% of the net assets and for this purpose, the same security wise hedge positions shall not be considered in computing the gross exposure.</p> <p>In terms of SEBI notification dated June 05, 2009, the Scheme shall not invest more than 30% of its NAV in money-market instruments issued by a single issuer. The scheme may review the above pattern of investments based on views on the debt markets and asset-liability management needs. The portfolio shall be reviewed on a monthly basis. At all times, the objective of the portfolio will be to seek income. The Scheme shall make investment out of NFO proceeds only on or after the closure of the NFO period.</p> <p>The portfolio of the Scheme may be invested largely or completely in one type of instrument from one or more category of issuers, subject to adherence to single-issuer exposure limit specified in the SEBI Regulations. The portfolio of the Scheme may be invested largely or completely in one or more types of securities from even one category of issuers, subject to adherence to single-issuer exposure limit specified in the SEBI Regulations. The scheme would attempt to invest in fixed -income instruments. Purchase of debt may be made either through initial public offer, private placement, through rights offerings, purchase on the floor of a recognized stock exchange or through negotiated deals on the secondary market. The scheme may invest in privately-offered securities based on the merits of the investment proposals.</p> <p>The securities could be listed, unlisted, privately placed, secured, unsecured, rated or unrated and of any maturity bearing fixed-rate or floating coupon rate. The scheme invests in securities that are rated by CRISIL or ICRA or other independent credit rating agencies registered with SEBI. The scheme may also enter into repurchase and reverse-repurchases obligations in all securities held by it as per the guidelines and regulations applicable to such transactions.</p>																																						

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	<p>The scheme shall invest in instruments rated as at least investment grade by a recognized rating agency. In case, the instruments are not rated, specific approval of the Board of Directors of the Investment Manager or a committee constituted by the Board of Directors of the Investment Manager and the Board of Directors of Trustee Company or a Committee approved by the Trustee Company shall be obtained.</p> <p>The scheme intends to use derivatives as permitted by RBI/SEBI for hedging interest-rate risk. The actual percentage of investments in various floating- and fixed-interest rate securities and the position of derivatives will be decided on day-to-day basis depending upon the prevailing view on Interest rate.</p> <p>In cases where the repurchase/ redemption requirements are sizeable, the scheme may resort to temporary borrowing within the limits laid down by SEBI.</p> <p>Investments in derivative instruments: SEBI has permitted all mutual funds to participate in derivatives trading subject to observance of guidelines issued by it in this regard. There are risk factors and issues relating to the use of derivatives that investors should understand (Refer details provided in Risk Factors in Part I of Scheme Information Document).</p>
Portfolio rebalancing	<p>Subject to the Regulations, the asset allocation pattern indicated above may change from time to time, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors. It must be clearly understood that the percentages stated above are only indicative and not absolute. These proportions can vary depending upon the perception of the fund manager; the intention being at all times to seek to protect the interests of the Unit holders. Such changes in the investment pattern will be for short term and for defensive considerations only. In the event of deviations, the fund manager will endeavour to carry out rebalancing within 30 Business Days.</p>
Risk Profile	<p>Diversification: The funds intends to invest in securities issued by a wide spectrum of issuers; straddling across segments and different types of instruments.</p> <p>Concentration: Diversification strategy followed by the scheme, whereby the scheme will invest in securities issued by various issuers, will help mitigate the concentration risk.</p> <p>Liquidity: The scheme intends to invest predominately in liquid money market instruments and also maintain optimal cash/cash equivalents to mitigate any liquidity risk.</p>
Risk Mitigation	<p>An independent risk management team is in place to oversee and monitor portfolio risk on a day-to-day basis. Internal risk control guidelines are in place and the portfolio contours are tracked on a daily basis to ensure adherence. Any deviation is brought to the notice of the Managing Director and the fund manager for corrective action. Follow up actions are made to ensure that the deviation is corrected within the time period prescribed in internal risk control guidelines. Adherence to limits from SEBI regulations as well as stipulations in the Scheme Information Document is ensured and monitored though the primary responsibility is with the compliance team. The risk management team reports to the Managing Director.</p> <p>Risk Management Committee: The Board of Sundaram Asset Management has constituted a Risk Management Committee comprising three directors. The Risk Management Committee reviews the reports prepared by the Risk Officers. The Risk Management Committee looks into the implementation of Enterprise Risk Management. The Committee also reviews the risk guidelines with respect to equity and fixed income funds, set/modify the limits of counter party exposure, review exceptions and overrides and suggest improvements to the framework/formats.</p> <p>The Heads of Equity and Fixed Income, the Risk Analyst and the Executive Director – Sales & Marketing will be the permanent invitees to the Committee. The Compliance Officer acts as the secretary to the committee.</p> <p>Risk Committee – Role: The Risk Committee will approve the:</p> <ul style="list-style-type: none"> • Guidelines in the Universe of securities • Global Issuer limits (including limit per maturity) • Counterparty limits • Limits applicable to each fund such as Credit Diversification ratio, Duration Limit, WAM Limit, Maximum Maturity Limit, Liquidity Risk Limits, Valuation Risk Limits, Risk Grade Limits etc. <p>Risk Guidelines: Sundaram Asset Management has internal investment norms and risk guidelines for equity and debt investments. Also fund specific guidelines are in place.</p> <p>Risk Control: Risk control is customized by product according to the level of risk the fund can expose investors to, as specified in the investment mandate.</p>
Scheme-Specific Risk Factors	<p>Credit risk, interest-rate risk, liquidity risk, market risk, risks associated with securitized debt, price risk and risks specific to closed-end schemes. This is only an illustrative list and not an exhaustive risk.</p>
Plans and Options	<p>Growth & Dividend Payout</p> <p>If the investor does not clearly specify the choice of option at the time of investing, the default option will be Growth.</p>
Provisions with respect to listing & de-listing of Scheme Listings	<p>It is proposed to list the units of the scheme on NSE.</p> <p>The Mutual Fund/Investment Manager will endeavour to list the units of the schemes on the capital market segment of NSE within five business days of allotment.</p> <p>Mode of allotment</p> <p>All Applicants whose cheques towards purchase of Units have realised will receive a full and firm allotment of Units, provided also the applications are complete in all respects and are found to be in order. The Trustee retains the sole and absolute discretion to reject any application. Applicants under the scheme will have an option to hold the Units either in physical form (i.e. account statement) or in dematerialized form. The Units shall be allotted and statement of accounts / allotment advice (for those who have opted allotment in demat</p>

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	<p>mode) would be dispatched or money would be refunded in respect of applications rejected, within five business days from the closure of the NFO period.</p> <p>Allotment Advice (for demat holders) The Units shall be allotted and statement of accounts / allotment advice (for those who have opted allotment in demat mode) would be dispatched or money would be refunded in respect of applications rejected, within five business days from the closure of the NFO period. The Units allotted will be credited to the DP account of the Unit holder as per the details provided in the application form. It may be noted that trading and settlement in the Units of respective Series over the stock exchange(s) the Units of the Scheme are listed will be permitted only in electronic form. However, if the applicant so desires, the Investment Manager shall issue Unit certificates to the applicant upon receipt of request for the certificate. Unit certificate if issued must be duly discharged by the Unit holder(s) and surrendered alongwith the request for Redemption / Switch or any other transaction of Units covered therein. Rematerialization of Units will be in accordance with the provisions of SEBI (Depositories & Participants) Regulations, 1996 as may be amended from time to time. All Units will rank pari passu, among Units within the same Option in the Scheme concerned as to assets, earnings and the receipt of dividend distributions, if any, as may be declared by the Trustee.</p> <p>De-listing of the Scheme The Scheme will mature on expiry of 24 Months from the date of allotment. The Investment Manager/ Trustee will initiate the delisting procedure at least 30 days prior to the date of maturity of the Scheme. The unitholders will not be able to trade in stock exchange once the Scheme is delisted.</p>										
Applicable NAV	<p>Subscription and Switch-in During the New Fund Offer (NFO) period, units will be offered at Rs 10 per unit and the entire amount is payable on application. The Scheme will not be open for on going subscription/switch in.</p> <p>Special products / facilities available during the NFO No SIP/SWP/STP is available.</p> <p>Redemptions/Repurchases/Switch-out Being a Scheme listed on the exchange, the Mutual Fund will not accept any redemption / repurchase and switch-out application till the maturity of the Scheme. Investors can sell the units of the Scheme at NSE where the units of the Scheme are proposed to be listed at available market price.</p>										
Additional Mode of Payment during NFO: (In accordance with SEBI circular Cir / IMD / TF / 6 / 2010 dated July 28, 2010)	Investors may apply for the FMPs under the Schemes through Applications Supported By Blocked Amount (ASBA) process during the NFO period by filling in the ASBA form and submitting the same to their respective banks, which in turn will block the subscription amount in the said amount as per the authority contained in ASBA form, and undertake other tasks as per the procedure specified therein. For applicants applying through ASBA, on allotment, the amount will be unblocked in their respective bank accounts and account will be debited only to the extent required to pay for allotment of Units applied in the application form. For complete details and ASBA process refer to Statement of Additional Information (SAI) available on our website www.sundarammutual.com and separate application forms attached. The ASBA Application Forms Demat, Non-Demat and Common are attached. The investors may choose and fill up the relevant ASBA application forms.										
Minimum Application Amount	Rs 5,000/-										
Dividend & Distribution	The Trustee reserves the right to distribute the dividend subject to availability of distributable surplus. The Scheme will pay Distribution tax on the distribution.										
Benchmark	CRISIL Short-Term Bond Fund Index										
Name of the Fund Managers	Dwijendra Srivastava										
Name of the Trustee Company	Sundaram Trustee Company Ltd										
Performance	This is a new scheme and does not have any performance track record.										
Load Structure	<p>Entry Load: Nil.</p> <p>Application for subscription may be sent directly to Sundaram Asset Management or through distributors. In case the application is submitted through the distributors, the investor may pay upfront commission directly to the distributor, based on his assessment of various factors including service rendered by the distributor. The distributor (ARN holder) will disclose all the commissions (in the form of trail commission or any other mode) payable to them for the different competing Schemes of various mutual funds from amongst which the Scheme is being recommended to the investor.</p> <p>Exit Load: Not Applicable</p> <p>Please note that buying and selling the units of the Scheme from/ to the market (after closure of the NFO) will not entail any entry / exit load. Investors will have to bear the cost of brokerage and applicable taxes on the brokerage and other relevant charges as applicable for transacting on secondary market.</p>										
Initial Issue Expenses	<p>The initial issue expenses will be borne by the Investment Manager.</p> <p>Maximum Recurring expenses specified under the regulation as a % on weekly net assets The maximum recurring expenses including the investment management and advisory fee that can be charged to the Scheme shall be subject to a percentage limit of average daily net assets:</p> <table border="1"> <thead> <tr> <th>Average daily net assets</th> <th>Maximum, (per annum) as a % of Average daily net assets</th> </tr> </thead> <tbody> <tr> <td>First Rs 100 crore</td> <td>2.25 %</td> </tr> <tr> <td>Next Rs 300 crore</td> <td>2.00%</td> </tr> <tr> <td>Next Rs 300 crore</td> <td>1.75%</td> </tr> <tr> <td>Balance assets</td> <td>1.50 %</td> </tr> </tbody> </table>	Average daily net assets	Maximum, (per annum) as a % of Average daily net assets	First Rs 100 crore	2.25 %	Next Rs 300 crore	2.00%	Next Rs 300 crore	1.75%	Balance assets	1.50 %
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Tax Benefits	This summary of tax implications is based on the current provisions of the applicable Tax Laws. (For a more detailed description of applicable tax provisions, please refer the Scheme Information Document and relevant tax law). This tax information is provided for general information purpose only. Investors are advised to consult										

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their tax adviser with respect to specific tax implications • Income of schemes of Sundaram Mutual Fund is exempt from tax. • Income distributions from Mutual Fund would be tax free in the hands of the investors. The scheme will pay distribution tax of 12.50%, in the case of distribution to individuals and HUFs and @ 20.00% on distribution made to others (cess will also apply and based on current provisions of the tax law, the rates will be 12.875% and 20.06% respectively). • Money Market Mutual Fund and Liquid Funds are required to pay dividend distribution tax at the rate of 25.00%. With cess, the relevant rate will be 25.75%. • Units will be treated as a long-term capital asset if held as a capital asset for more than 12 months. If the units are held for less than or equal to 12 months, they will be treated as short-term capital asset. • Long-term capital gains are taxable at 10% without indexation of the cost of acquisition or at 20% with indexation of the cost of acquisition. • Short-term capital gains are taxable at normal rates applicable to the investor as per the provisions of the Income Tax Act. • Capital loss resulting from sale of units would be available for setting off against other capital gains made. Losses on transfer of long-term capital assets would however be allowed to be set-off only against gains from transfer of long-term capital assets. The balance long-term capital loss shall be carried forward separately for a period of eight assessment years to be set off only against long-term capital gains. • Where a person buys any units within a period of three months before the record date and sells such units within nine months after such date, then the capital loss, if any, on such sale cannot be set off to the extent of dividend income. • In addition to income tax, surcharge on income tax will be payable at rates prescribed by the respective Finance Act, depending on the total income of the investor. A cess of 3% is also payable on the total tax (income tax and cess.) • No tax will be deducted at source from income paid to any investor as also from redemption amounts paid to resident investors. • Units of the scheme are an eligible mode of investment in terms of the pattern of investment prescribed under the Income Tax Act for the following entities: • Charitable Trusts • Scientific Research Associations • Institutions/Associations/Boards for regulating games / sports • Employee Welfare Trusts • If units of the scheme are given as a gift no gift tax will be payable. • Units of this scheme are also exempt from wealth tax. This information is provided for general purpose only. In view of the individual nature of tax implications, investors are advised to consult his/her tax adviser with respect to the specific tax implications arising out of an investment in the scheme.

Daily Net Asset Value (NAV) Publication	On all working days, NAV will be computed (except in special circumstances detailed in the Statement of Additional Information/Scheme Information Document) and published in 2 newspapers and updated on the websites of Sundaram Asset Management (www.sundarammutual.com) and the Association of Mutual Funds of India (www.amfiindia.com). Sundaram Asset Management shall normally update the NAV on the website of Association of Mutual Funds of India before 9.00 p.m. on every working day
Disclosure of Portfolios	In line with the SEBI circular SEBI/IMD/CIR No. 15/157701/2009 dated March 19, 2009, the portfolio of the Scheme shall be disclosed in the prescribed format on a monthly basis at www.sundarammutual.com
For Investor Grievances please contact	<p>Investor Relations Manager</p> <p>J. Esther Priya, Head- Customer Services, Sundaram Asset Management Company Limited, Sudarshan Building Annex, II Floor, New No.27, Old No.14, Whites Road, Royapettah, Chennai- 600 014. Telephone: (044) 28578700 Fax: (044) 28582200 Toll Free No: 1800 – 425 – 1000 Email us at : service@sundarammutual.com</p> <p>Registrar</p> <p>Sundaram BNP Paribas Fund Services Limited, Registration No. INR000004066, Registrar and Transfer Agents, Unit: Sundaram Mutual Fund, Central Processing Center, RR Towers II, III Floor, Thiru Vi Ka Industrial Estate, Guindy, Chennai 600 032. Toll Free: 1800-425-7237.</p>
Information to unit holders:	<p>Accounts statement for each transaction other than SIP/STP/SWP, and annual financial statements shall be sent to investors by post or e-mail. Portfolio of the scheme shall be published in two newspapers as permitted under SEBI (Mutual Funds) Regulations, 1996.</p> <p>Mutual Fund Investments are subject to market risks. Please read the Statement of Additional Information (SAI) and Scheme Information Document (SID) carefully before investing.</p> <p>Copy of the Scheme Information Document, key information memorandum and application form may be obtained from the offices / investor service centres of Sundaram Asset Management, its distributors and online at www.sundarammutual.com.</p>
General Risk Factors	<p>Mutual Funds and all securities investments are subject to market risk and there is no assurance or guarantee that the Fund's objectives will be achieved. As with any investment in securities, the NAVs of the units issued under the scheme can go up or down depending upon the factors and forces affecting the capital market. Past performance of the Sponsor/Asset Management Company/Fund does not indicate the future performance. Investors in the Scheme are not being offered any guaranteed or indicated returns. Sundaram Fixed Term Plan – AD-AS (comprising series AD, AE, AF, AG, AH, AI, AJ, AK, AL, AM, AN, AO, AP, AQ, AR, AS) does not in any manner indicate either quality or future prospects and returns. NAV may rise or decline depending on market conditions. Main types are market risk, liquidity risk, credit risk and systemic risks. Changes in government policy and tax benefits applicable to mutual funds may impact returns to investors. At times, liquidity of investments may be impaired. There is risk of capital loss.</p>
Statutory	<p>Sundaram Mutual Fund has been set up as a trust under the Indian Trusts Act, 1882 Sponsors: Sundaram Finance Limited & Asset Management. Investment Manager: Sundaram Asset Management Company Limited. Trustee: Sundaram Trustee Company Limited. The sponsors are not responsible or liable for any loss beyond the contribution of Rs.1 lakh to set up the Mutual Fund.</p>

Checklist

Please provide correct and complete data

Permanent Account Number (PAN) is not required for Micro SIP of an individual investor if the total amount of installments is less than Rs 50,000 per investor in any rolling 12-month period or in a financial year. This exemption is not applicable to other purchase transactions even if the amount is less than Rs 50,000 in any rolling 12-month period or in a financial year.

Investors in the Micro SIP must produce a copy of any of the specified photo identification documents listed in Guide to Investing through Systematic Investment Plan (SIP)

Please complete Know Your Customer requirement (for all investors except Micro SIP of an individual investor).

Please make the Cheque/ Demand Draft in the name of SMF FTP-AJ

Please ensure you take care to mention:

- Permanent Account Number (PAN is mandatory for all investors).
- Please mention the DEMAT account number.
- Mode of operation in case of joint applicants
- Bank account details of the first applicant
- Bank details for direct credit/ ECS/NEFT/RTGS
- If the investor or nominee is a minor, date of birth of minor and name of guardian must be indicated
- If investment is made under Power of Attorney, specimen signature of authorized signatory
- NONE in nomination column if it is not filled up.

Please attach:

- Copy of Permanent Account Number Card
- KYC Acknowledgement.
- Signed 'Account Payee' cheque/draft drawn in the name of SMF FTP-AJ for the amount of investment after writing the Permanent Account Number (PAN) on the reverse.
- A cancelled cheque or a photocopy of your cheque leaf if you wish to avail the facility of direct credit/ ECS
- If investment is made under Power of Attorney, notarised copy of the Power of Attorney
- If the investor is a Non-Resident Indian (NRI), FIRC/Account Debit advice from the bank if invested by demand draft out of FCNR/NRE Account
- In case of a Trust/Fund, a resolution of the Trustee(s) authorising the investment must be submitted.
- If you are an institution, please attach a copy of documents indicated in the table:

Special Categories (attach copy)

Document	Corporate	Society	Partnership	Trust	FI
Memorandum & Articles	✓				
Resolution/Authorisation to Invest	✓	✓	✓	✓	✓
List of Authorised Signatories & Specimen Signatures	✓	✓	✓	✓	✓
Bye-Laws		✓			
Trust Deed				✓	
Partnership Deed			✓		
SEBI Registration Certificate					✓

1. Existing Unit Holder

Investors already having an account in any of Sundaram Mutual Fund Scheme(s) serviced by Sundaram BNP Paribas Fund Services should provide their Folio Number and the Scheme Name. Such applicants need not fill the details in Section 2 and may directly proceed to Section 3. Please mention the DEMAT account number.

2. Unit holder Information - New Investors

Name and address must be written in full. In case the Investor is an NRI/FII, an overseas address must be provided. A local address if available may also be mentioned in the Application Form. Name of the Guardian must be mentioned if the investments are being made on behalf of a minor.

Please mention the DEMAT account number.

Applications under a Power of Attorney or by a limited company or a corporate body or an eligible institution or a registered society or a trust fund must be accompanied by the original Power of Attorney (or a certified true copy of the same duly notarised) or the relevant resolution or authority to make the application (or duly notarised copy thereof) as the case may be, along with a certified copy of the Memorandum and Articles of Association and/or bye-laws and/or trust deed and/or partnership deed and/or Certificate of Registration. Authorised officials should sign the Application Form under their official designation. A list of specimen signatures of the authorised officials, duly certified / attested should also be attached to the Application Form. In case of a Trust/Fund a resolution from the Trustee(s) authorising such purchase must be submitted.

In case of more than one applicant, applicants are requested to state the Mode of holding as 'Joint' or 'Anyone or Survivor'. In case of omission to choose the mode of holding, the default option shall be 'Anyone or Survivor'.

All communication and payments shall be made in the name of and favouring the first/ sole applicant.

Permanent Account Number (PAN): SEBI has mandated that PAN shall be the sole identification number for all participants in the securities market, irrespective of the amount of the transaction. Accordingly, the applicant (or incase of applications in joint names, each applicant), is required to mention their PAN and attach an signed/attested copy of PAN card with the application. Investors can also submit a copy of PAN attested by the Bank Manager/Gazetted Officer of State or Central Government/Notary Public/Judicial Authority. Applications without a copy of the PAN will be rejected.

Permanent Account Number (PAN) is not required for Micro SIP of an individual investor if the total amount of installments is less than Rs 50,000 per investor in any rolling 12-month period or in a financial year. This exemption is not applicable to other purchase transactions even if the amount is less than Rs 50,000 in any rolling 12-month period or in a financial year.

Investors in the Micro SIP must produce a copy of any of the specified photo identification documents listed in Guide to Investing through Systematic Investment Plan (SIP)

Instructions for verification of PAN Card: If an investor provides a copy of PAN card without required attestation, officials at the branches of Sundaram Asset Management/Registrar and Transfer Agents and designated persons at Investor Centres must verify the PAN card copy with the original. The person verifying the document need to affix his/her signature, name and company seal with remarks as verified with original / verified / attested. Distributors can also verify the PAN card copy with original. The person verifying the document need to affix his/her signature, name and company seal with remarks as verified with original / verified / attested.

Know Your Customer (KYC):

- KYC acknowledgement is mandatory for all investors except Micro SIP of an individual investor. An application without acknowledgement of KYC compliance will be rejected
- Investors are required to attach a copy of PAN card as a mandatory document for proof of identity to complete KYC
- As a token of having verified the identity and address and for efficient retrieval of records, the POS will issue an acknowledgement.
- Investors who have obtained the acknowledgement after completing the KYC process can invest in Scheme of the Mutual funds by quoting the PAN in the application form.
- Investors are required to complete KYC process only once to enable them to invest in Scheme of all mutual funds
- The list of points of service is available at www.sundarammutual.com.

All other documents/copies must be self certified by the investor and submitted along with originals for verification and certification by AMFI certified distributor who must also affix ARN. Applications without required documents will be rejected.

3. Investment Details

Investors should indicate the option for which the subscription is being made, by indicating the choice in the appropriate box provided for this purpose in the Application Form. If you do not indicate an investment option, the Growth option shall be applied as default option.

4. Direct Credit of Redemption / Dividend Proceeds

Please tick the box if you would like to receive your redemption payment under the following mode.

- Direct Credit to your bank account with select banks.
 - Warrants/Drafts (The warrants/drafts will be payable only at cities where Sundaram Mutual Customer Service Centres are located).
- Investor who opt to receive Direct Credit facility are requested to

enclose a cancelled cheque or a photocopy of the cheque indicating the bank account number and MICR code.

However, if the Unit holders are not keen on availing of this facility and prefer receiving cheques / demand drafts, Unit holders may indicate their intention in the Application Form. The Investment Manager would then ensure that the payouts are effected by sending the Unit holders a cheque / demand draft. In case of unforeseen circumstances, the Investment Manager reserves the right to issue a demand draft / payable at par cheque.

Electronic Clearing Service (ECS)

Investors who have opted for the ECS facility of RBI for dividend payment will receive a direct credit of the amount due to them in their notified account whenever the payment is made through ECS. The ISC will send a separate advice to the Unit holders informing them of the direct credit. It should be noted that while the Mutual Fund will make all efforts, there is no commitment that this facility will be made available to all desirous Investors.

Applicants in cities not covered under ECS facility will receive dividend payments by cheques or demand drafts and the same will be mailed to the Unit holders.

Please note that the ECS facility is available only in respect of dividend payments and not in the case of Redemption of Units.

5. Bank Account Details (Mandatory as per SEBI Regulations)

The Trustees / Investment Manager will not be responsible for any loss arising out of fraudulent encashment of cheques or delay/loss in transit. Investors must write the application form number/folio number on the reverse of the cheque and bank draft accompanying the application form.

6. Payment Details

Mode of Payment: The cheque/bank draft should be drawn in favour of "SMF FTP-AJ" and crossed "A/c Payee only".

Additional Mode of Payment during NFO: (In accordance with SEBI circular Cir / IMD / TF / 6 / 2010 dated July 28, 2010, ASBA facility to investors for all NFOs launched on or after October 01, 2010 shall be provided.) Investors may apply for the FMPs under the Schemes through Applications Supported By Blocked Amount (ASBA) process during the NFO period by filling in the ASBA form and submitting the same to their respective banks, which in turn will block the subscription amount in the said amount as per the authority contained in ASBA form, and undertake other tasks as per the procedure specified therein. For applicants applying through ASBA, on allotment, the amount will be unblocked in their respective bank accounts and account will be debited only to the extent required to pay for allotment of Units applied in the application form. For complete details and ASBA process refer to Statement of Additional Information (SAI) available on our website www.sundarammutual.com

7. E-mail Communication

Account Statements / Annual Reports can be sent to each Unit holder by courier / post / e-mail.

The investor is aware that the mutual fund needs to use intermediaries such as post office, local and international couriers, banks and other intermediaries for correspondence with the investor and for making payments to the investors by cheques, drafts, warrants, through ECS etc. The Investor expressly agrees and authorises the mutual fund to correspond with the investor or make payments to the investor through intermediaries including but not limited to post office, local and international couriers and banks. The investor clearly understands the mutual fund uses such intermediaries are agents of the investor and not the mutual fund. The Mutual fund is not responsible for delayed receipt or non-receipt of any correspondence or payment through such intermediaries.

8. Personal Identification Number (PIN) facility

Applicants who request a Personal Identification Number (PIN) by ticking the box in this section will be sent a PIN agreement which will be mailed separately. This PIN allows you to access your account information via the Internet.

9. Nomination

Please fill in this section should you wish to nominate someone.

10. Declarations & Signatures

Signature should be in English or in any Indian language. Thumb impressions must be attested by a Magistrate/Notary Public under his/her official seal. In case of HUF the Karta should sign on behalf of the HUF.

General

Prevention of Money Laundering

In terms of the Prevention of Money Laundering Act, 2002, the Rules issued there under and the guidelines/circulars issued by SEBI regarding the Anti Money Laundering (AML Laws), all intermediaries, including Mutual Funds, have to formulate and implement a client identification programme, verify and maintain the record of identity and address (es) of investors.

In order to make the data capture and document submission easy and convenient for the investors, Mutual Fund Industry has collectively entrusted this responsibility of collection agency. Presently CDSL Ventures Limited acts as central record keeping agency ('Central Agency'). CDSL has appointed Points of Services (POS) to carry out the KYC process. Investors are required to attach a copy of PAN card as a mandatory document for proof of identity. As a token of having verified the identity and address and for efficient retrieval of records, the POS will issue an acknowledgement.

Investors who have obtained the acknowledgement after completing the KYC process, can invest in the Scheme of the mutual fund by quoting the PAN number in lieu of submitting information and documents required under AML Laws.

Your cheque must be in favour of SMF FTP-AJ

A closed-end income Scheme
New Fund Offer Period Opens on: 27/12/2010 Closes on: 07/01/2011

Channel Partner / Agent Information

Agent's Name and ARN	1.Sub Agent Code	2.Sub Agent Code	3.Sub Agent Code	For Office Use Only

Upfront commission shall be paid directly by the investor to the AMFI-registered distributors based on the investors' assessment of various factors including services rendered by the distributor

1. Existing Investor Information (Please fill in your Folio No. and then proceed to Section 3)
Please note that applicant details and mode of holding will be as per existing Folio Number.

Folio No /

2. New Investor Information (refer instruction 2)

Name of First/Sole Applicant

Permanent Account Number KYC completed Yes No Date of Birth

Name of Guardian (in case of First / Sole Applicant is a Minor) / Contact Person – Designation (in case of non-individual Investors)

Permanent Account Number KYC completed Yes No Relationship

DEMAT Account Details

National Securities Depository Limited Depository Participant Name
 Central Securities Depository Limited DP ID Number Beneficiary Account Number

Mailing Address of First / Sole Applicant

CITY STATE PIN CODE

Overseas Address (in case of NRIs/FIIs)

Contact Details of First / Sole Applicant

STD Code
 Telephone Mobile
 E-Mail

Mode of Holding [Please (✓)]

- Single Joint
- Anyone or Survivor

Status of First / Sole Applicant [Please (✓)]

- Individual NRI-Repatriable NRI-Non-Repatriable Minor through guardian HUF Partnership
- Society/Club Company FII Sub account of FII Body Corporate Trust Fund of Funds
- Others _____ (please specify)

Monthly Income : < Rs 10,000 < Rs 25,000 < Rs 50,000 < Rs 1,00,000 > Rs 1,00,000 Profession:.....

Name of Second Applicant

Permanent Account Number KYC completed Yes No

DEMAT Account Details

National Securities Depository Limited Depository Participant Name
 Central Securities Depository Limited DP ID Number Beneficiary Account Number

Name of Third Applicant

Permanent Account Number KYC completed Yes No

DEMAT Account Details

National Securities Depository Limited Depository Participant Name
 Central Securities Depository Limited DP ID Number Beneficiary Account Number

Received From Mr./Mrs./Ms.....
 Address

Communication in connection with the application should be addressed to the Registrar Sundaram BNP Paribas Fund Services Limited, Registrar and Transfer Agents, Unit: Sundaram Mutual Fund, Central Processing Center, RR Towers, II III Floor, Thiru Vi Ka Industrial Estate, Guindy, Chennai 600 032. Toll Free: 1800-425-7237

Sundaram Asset Management, II Floor, 46 Whites Road, Chennai - 600 014. Toll Free: 1800-425-1000 (MTNL/BSNL) Ph : (044) 28578700

ISC's Signature & Stamp

Please Note: All Purchases are subject to realisation of cheques / demand drafts.

Sundaram Fixed Term Plan-AJ

3. Options (refer instruction 3)

Growth Dividend - Payout (If you do not indicate an option, for default option refer instruction 3)

4. How do you wish to receive the following (refer instruction 4)

Account Statement Will be sent by Email	Dividend	Redemption
To receive physical statement please tick <input type="checkbox"/>	<input type="checkbox"/> Direct Credit (DC) <input type="checkbox"/> RTGS/NEFT <input type="checkbox"/> Electronic Clearing Service (ECS) <input type="checkbox"/> Warrant	<input type="checkbox"/> Direct Credit (DC) <input type="checkbox"/> RTGS/NEFT <input type="checkbox"/> Warrant

Direct Credit is now available with: ABN Amro Bank, AXIS Bank, Bank, Citibank, HDFC Bank, HSBC Bank, ICICI Bank, IDBI Bank, IndusInd Bank, Kotak Mahindra Bank, Standard Chartered Bank, YES Bank.

5. Bank Account Details are Mandatory (refer instruction 5)

Name of the Bank											Branch													
Branch Address											City (redemption & dividend will be payable at this location)													
Account No																								
If you opt for ECS fill & attach cancelled cheque	Cheque MICR No										Account Type [Please ✓]	<input type="checkbox"/> SAVINGS <input type="checkbox"/> CURRENT <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Others.....												
	If you have chosen RTGS / NEFT please fill:										RTGS / NEFT IFSC Code													
Beneficiary Name																								
Name of the Bank											Branch													

6. Payment Details (refer instruction 6) Please issue a separate Cheque/Demand Draft in favour of SMF FTP-AJ

Cheque / DD No.											Date	D	D	M	M	Y	Y	Y	Y															
Amount in words (Rs)											Drawn on Bank																							
Amount in figures (Rs)											DD Charges									Net Amount							Branch Name							

7. Do you wish to receive updates by E-Mail [Please ✓] (refer instruction 7)

Yes No

Declaration: I/We • having read and understood the contents of the Statement of Additional Information/Scheme Information Document/Offer Document • hereby apply for units as indicated in the application form • agree to abide by the terms, conditions, rules and regulations of the Scheme • agree to terms & conditions of PIN agreement • agree to receive account statement/communication by Email • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment • do not have any existing Micro SIPs which together with the current application will result in the total investments exceeding Rs. 50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

8. Receive PIN to track investment online [Please ✓] (refer instruction 8)

Yes No

9. Nominee (available only for individuals) (refer instruction 9)

Name:.....
 Address:.....

If nominee is a minor: Date of birth:.....Relationship:.....
 Name of Guardian:.....
 Address of Guardian:.....

Signature of Nominee/Guardian of Nominee

10. Signature (refer instruction 10)

First / Sole Applicant / Guardian

Second Applicant

Third Applicant

Sundaram Fixed Term Plan-AJ

Options Growth Dividend - Payout

Cheque / DD No.											Date	D	D	M	M	Y	Y	Y	Y															
Amount in words (Rs)											Drawn on Bank																							
Amount in figures (Rs)											DD Charges									Net Amount							Branch Name							

SMF FTP-AJ - December 10

Investors must read the Scheme Information Document/Key Information Memorandum and Instructions before completing this Form.

A closed-end income Scheme
New Fund Offer Period Opens on: 27/12/2010 Closes on: 07/01/2011

APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA) FORM

Date	D	D	M	M	Y	Y	Y	Y
ASBA Application No.								
NFO Application No. [mandatory & only for Non-Demat holders]								

Broker/Agent Information		For office use only			
Name and AMFI Regn No.	Sub Broker Name & Code	SCSB	SCSB IFSC Code	Syndicate Member Code	SL No.
ARN:		[Name & Code]	[11 digit code]	[Name & Code]	

Name of first applicant (Mr./Ms./Mrs./M/s.)	Name should be as available in Demat Account										
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Existing Folio No. (If you have an existing folio number, please mention the number here)											
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Depository Account Details: [Not Mandatory]											
Depository Name [Please tick]	National Securities Depository Ltd				Central Depository Services [India] Limited						
Depository Participant Name											
DP-ID											
Beneficiary Account Number											

PAN of 1st applicant [Permanent Account Number]											
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Investment Details											
Sundaram Fixed Term Plan-AJ						Plans and Options: Growth & Dividend Payout					
Growth Option	Dividend Payout	tick any one									

Details Of Bank Account For Blocking Of Funds [Bank Account should be in the name of First Applicant only]

Bank Account Number											
Bank Name											
Branch Name where account is held											

Total Amount to be blocked [Rs. In figures]	[Rs. In words]
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UNDERTAKING BY ASBA INVESTOR

1)I/We hereby undertake that I/We am/are an ASBA Investor as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements), Regulations 2009 ('SEBI Regulations') as amended from time to time. 2) In accordance with ASBA process provided in the SEBI Regulations and as disclosed in this application, I/We authorize (a) the SCSB to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the "SCSB / ASBA Account details" or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the Scheme/Sundaram Mutual Fund on receipt of instructions from the Registrar and Transfer Agent after the allotment of the Units entitling me/us to receive Units on such transfer of funds, etc. (b) Registrar and Transfer Agent to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the application, upon allotment of Units and to transfer the requisite money to the Scheme's account / Bank account of Sundaram Mutual Fund. 3) In case the amount available in the bank account specified in the application is insufficient for blocking the amount equivalent to the application money towards the Subscription of Units, the SCSB shall reject the application 4) If the DP ID, Beneficiary Account No. or PAN furnished by me/us in the application is incorrect or incomplete or not matching with the depository records, the application shall be rejected and the Sundaram Mutual Fund or Sundaram Asset Management Company Limited or Sundaram Trustee Company Limited or SCSBs shall not be liable for losses, if any. All future communication in connection with NFO should be addressed to the SCSB/RTA/AMC quoting the full name of the Sole/First Applicant, NFO Application Number, ASBA Application Number, Depository Account details [if it has been provided], Amount applied for and the account number from where NFO amount was blocked.

Signature

	Signature of Bank Account Holders
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Acknowledgement Slip To The Investor [To Be Retained By The Investor] (to be filled up the investor)

Sundaram Asset Management , II Floor, 46 Whites Road, Chennai - 600 014. Toll Free: 1800-425-1000 (MTNL/BSNL) Ph : (044) 28578700														
Application Number [mandatory for non-demat account holders]:						ASBA Application Number:								
Sundaram Fixed Term Plan-AJ Plans:						Growth		Dividend Payout						
Date	D	D	M	M	Y	Y	Y	Y	ASBA Application Number					
Received from Mr./Ms./Mrs./M/s.						SCSB Account details			Total Amount to be Blocked			SCSB Stamp, Signature		
Address						A/C No.			Rs. In figures			Date & time of receipt		
						Bank Name			Rs. In words					
						Branch Name								

Applications Supported by Blocked Amount

Sundaram Fixed Term Plan-AJ

1. **Applications Supported by Blocked Amount or ASBA facility:** An application containing an authorization given by the Investor to block the application money in his/her specified bank account towards the subscription of Units offered during the NFO(New Fund Offer) of a Scheme. If an investor is applying through ASBA facility, the application money towards the subscription of Units shall be debited from his specified bank account only if his/her application is selected for allotment of Units.

It is an additional payment option that the investor can use in addition to the existing modes (Cheque/Demand Draft etc) for buying Units during NFO. It is available only to individuals. It is not available for subscribing to Units on an ongoing basis after the NFO. For availing this option the bank, where the investor has an account, should be a Self Certified Syndicate Bank (SCSB)

2. **"Controlling Branches (CBs) of the Self Certified Syndicate Banks (SCSB):** Controlling Branches (CBs) are the branches of the SCSBs acting as coordinating branches for the Registrar and Transfer Agent of Sundaram Mutual Fund schemes, the AMC and the Stock Exchange(s) for the ASBA facility offered during the NFO period.
3. **"Designated Branches (DBs) of the SCSBs:** Designated Branches (DBs) are the branches of the SCSBs which shall collect the ASBA Application Forms duly filled by the Investors towards the subscription to the Units of the Scheme offered during the NFO. The list of these Designated Branches is available at <http://www.sebi.gov.in/pmd/scsb.pdf> and www.sundarammutual.com
4. **"Self Certified Syndicate Bank" or SCSB:** Self Certified Syndicate Bank/ SCSB means a bank registered with SEBI to offer the facility of applying through the ASBA process. ASBAs can be accepted only by SCSBs, whose names appear in the list of SCSBs as displayed by SEBI on its website at www.sebi.gov.in.
5. **The ASBA facility during NFO:** In respect of New Fund Offer (NFO) of Schemes/Plan(s) launched on or after October 1, 2010, an investor (being an Individual) can subscribe to the NFO through Applications Supported by Blocked Amount (ASBA) facility by applying for the Units offered in the ASBA Application Form and following the procedure as prescribed in the form. For details please refer to the Section "Applications Supported by Blocked Amount (ASBA) facility," below and also the Application Form/KIM of the Scheme

Applications Supported by Blocked Amount (ASBA) facility: Pursuant to SEBI Circular dated SEBI/IMD/CIR No 18 /198647 /2010 March 15, 2010, an investor can subscribe to the New Fund Offer (NFO) launched on or after October 1, 2010 through ASBA facility by applying for the Units in the ASBA Application Form and following the procedure as prescribed in the form.

ASBA is an application containing an authorization given to the Bank by the Investor to block the application money in his/her specified bank account towards the subscription of Units offered during the NFO of the Scheme of Sundaram Mutual Fund. Thus, for an investor who applies through ASBA facility, the application money towards the subscription of Units shall be debited from his specified bank account only if his/her application is selected for allotment of Units. The blocked amount cannot be withdrawn and will earn interest as per account terms applicable. It may be noted that since ASBA Facility is pursuant to an arrangement between the Investor and his/her Bank, Sundaram Mutual Fund, or its Trustee/AMC/ its Employees/Directors will not be responsible for any delay, error or omission/commission, or deficiency if any, on the part of the Investor's Bank.

Benefits of Applying through ASBA facility

- Writing cheques / demand drafts and sending them for collection etc are not required, as investor needs to submit ASBA application Form accompanying an authorization to block the account to the extent of application money towards subscription of Units. The balance money, if any, in the account can be used for other purposes by the investors.
- Release/Unblocking of blocked funds after allotments done instantaneously.
- Unlike other modes of payment, ASBA facility prevents the loss of interest income on the application money towards subscription of Units as it remains in the bank account of the investor till the allotment is made.
- Refunds of money to the investors do not arise as the application money towards subscription of Units gets transferred only to the extent of amount payable for the actual allotment
- The investor deals with the known intermediary i.e. his/her own bank.
- The application form is simpler as the application form for ASBA will be different from the NFO application form.

ASBA Procedure:

- An Investor intending to subscribe to the Units of the NFO through ASBA, shall submit a duly completed ASBA Application Form to a Self Certified Syndicate Bank (SCSB) with whom he/she has a Bank Account.
- The ASBA Application Form towards the subscription of Units can be submitted through one of the following modes.
 - Submit the form physically with the Designated Branches (DBs) of the SCSB ("Physical ASBA"); or
 - Submit the form electronically through the internet banking facility offered by the SCSB ("Electronic ASBA").
- An acknowledgement will be given by the SCSB in the form of the counter foil or specifying the application number for reference. (Note: Such acknowledgement does not guarantee, in any manner that the investors will be allotted the Units applied for. Further, if the bank account specified in the ASBA Application Form does not have sufficient credit balance to meet the application money towards the subscription of Units, the Bank shall reject the ASBA Application form.)

- On acceptance of Physical or Electronic ASBA, the SCSB shall block funds available in the bank account specified to the extent of the application money specified in the ASBA Application Form.
- The application money towards the Subscription of Units shall be blocked in the account until (i) Allotment of Units is made or (ii) Rejection of the application.
- SCSBs shall unblock the bank accounts (i) for Transfer of requisite money to the NFO bank account against each valid application on allotment or (ii) in case the application is rejected.
- The list of SCSBs and their DBs where ASBA application form can be submitted is available on the websites of BSE (www.bseindia.com), NSE (www.nseindia.com) and SEBI (www.sebi.gov.in) and shall also be given in the ASBA application form.

Note: No request for withdrawal of ASBA application form made during the NFO Period will be allowed.

List of banks providing ASBA facility

- | | |
|-------------------------------------|--------------------------------------|
| 1. Axis Bank Ltd | 17. Andhra Bank |
| 2. State Bank of Hyderabad | 18. HSBC Ltd. |
| 3. Corporation Bank | 19. Kotak Mahindra Bank Ltd. |
| 4. State Bank of Travencore | 20. Bank of India |
| 5. IDBI Bank Ltd. | 21. CITI Bank |
| 6. State Bank of Bikaner and Jaipur | 22. IndusInd Bank |
| 7. YES Bank Ltd. | 23. Allahabad Bank |
| 8. Punjab National Bank | 24. Karur Vysya Bank Ltd. |
| 9. Deutsche Bank | 25. The Federal Bank |
| 10. Union Bank of India | 26. Indian Bank |
| 11. HDFC Bank Ltd. | 27. Central Bank of India |
| 12. Bank of Baroda | 28. Oriental Bank of Commerce |
| 13. ICICI Bank Ltd | 29. Standard Chartered Bank |
| 14. Vijaya Bank | 30. J P Morgan Chase Bank, N.A. |
| 15. Bank of Maharashtra | 31. Nutan Nagarik Sahakari Bank Ltd. |
| 16. State Bank of India | 32. UCO Bank |

Note: For the scheme HDFC Bank Limited and Kotak Mahindra Bank Limited have consented to process the ASBA application form.

Grounds for Technical Rejections of ASBA application forms ASBA

Grounds on which Application Forms can be rejected, at the discretion of Sundaram Mutual Fund/ Registrar and Transfer Agent of Sundaram Mutual Fund or SCSBs include, but are not limited to-

- Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane/insolvent persons or where the Bank Account concerned is the subject matter of any attachment / restraint order by a Court or a competent authority under any law etc.
- Mode of ASBA i.e. either Physical ASBA or Electronic ASBA not selected or ticked properly.
- The ASBA Application Form is without the stamp of the SCSB.
- Application by any person/entity outside India if which is not in compliance with applicable foreign and Indian laws/Regulations.
- Bank account details not given or incorrect/incomplete details given.
- Relevant Legal Documents (such as Duly certified Power of Attorney, if applicable), not submitted along with the ASBA application form.
- No corresponding records available with the Depositories matching the parameters namely
 - Names of the ASBA applicants (including the order of names of joint applicants holders)
 - DP ID (c) Beneficiary account number or any other relevant details pertaining to the Depository Account.

Mechanism for Redressal of Investor Grievances: All grievances relating to the ASBA facility may be addressed to the respective SCSBs, giving full details such as name, address of the applicant, number of Units applied for, counterfoil or the application reference given by the SCSBs, DBs or CBs, amount paid on application and the Designated Branch or the collection centre of the SCSB where the Application Form was submitted by the ASBA Investor.

If the SCSB is unable to resolve the grievance within reasonable time, it shall be addressed to the Registrar and Transfer Agent Sundaram BNP Paribas Fund Services (SBNPPFS) with a copy to the Investor Service Department of Sundaram Asset Management Company Limited

Investor Relations Manager

J. Esther Priya

Head - Customer Services

Sundaram Asset Management Company Limited

Sudarshan Building Annex, II Floor

New No.27, Old No.14, Whites Road, Royapettah, Chennai- 600 014.

Telephone: (044) 28578700; Fax: (044) 28582200

Toll Free No: 1800 - 425 - 1000

Email us at : service@sundarammutual.com

Investors must read the Scheme Information Document/Key Information Memorandum and Instructions before completing this Form.

A closed-end income Scheme
New Fund Offer Period Opens on: 27/12/2010 Closes on: 07/01/2011

APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA) FORM for Demat Account Holders

Date

D	D	M	M	Y	Y	Y	Y
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ASBA Application No.

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Broker/Agent Information		For office use only			
Name and AMFI Regn No.	Sub Broker Name & Code	SCSB	SCSB IFSC Code	Syndicate Member Code	SL No.
ARN:		[Name & Code]	[11 digit code]	[Name & Code]	

Name of first applicant (Mr./Ms./Mrs./M/s.)

Name should be as available in Demat Account																			
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Existing Folio No.

If you have an existing folio number, please mention the number here																			
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Depository Account Details: [Not Mandatory]	
Depository Name [Please tick]	<input type="checkbox"/> National Securities Depository Ltd <input type="checkbox"/> Central Depository Services [India] Limited
Depository Participant Name	
DP-ID	
Beneficiary Account Number	

PAN of 1st applicant [Permanent Account Number]

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Investment Details	
Sundaram Fixed Term Plan-AJ	
Plans and Options: Growth & Dividend Payout	
<input type="checkbox"/> Growth Option	<input type="checkbox"/> Dividend Payout <input type="checkbox"/> tick any one

Details Of Bank Account For Blocking Of Funds [Bank Account should be in the name of First Applicant only]

Bank Account Number	
Bank Name	
Branch Name where account is held	

Total Amount to be blocked [Rs. In figures]

[Rs. In words]																			
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UNDERTAKING BY ASBA INVESTOR

1)I/We hereby undertake that I/We am/are an ASBA Investor as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements), Regulations 2009 ('SEBI Regulations') as amended from time to time. 2) In accordance with ASBA process provided in the SEBI Regulations and as disclosed in this application, I/We authorize (a) the SCSB to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the "SCSB / ASBA Account details" or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the Scheme/Sundaram Mutual Fund on receipt of instructions from the Registrar and Transfer Agent after the allotment of the Units entitling me/us to receive Units on such transfer of funds, etc. (b) Registrar and Transfer Agent to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the application, upon allotment of Units and to transfer the requisite money to the Scheme's account / Bank account of Sundaram Mutual Fund. 3) In case the amount available in the bank account specified in the application is insufficient for blocking the amount equivalent to the application money towards the Subscription of Units, the SCSB shall reject the application 4) If the DP ID, Beneficiary Account No. or PAN furnished by me/us in the application is incorrect or incomplete or not matching with the depository records, the application shall be rejected and the Sundaram Mutual Fund or Sundaram Asset Management Company Limited or Sundaram Trustee Company Limited or SCSBs shall not be liable for losses, if any. All future communication in connection with NFO should be addressed to the SCSB/RTA/AMC quoting the full name of the Sole/First Applicant, NFO Application Number, ASBA Application Number, Depository Account details [if it has been provided], Amount applied for and the account number from where NFO amount was blocked.

Signature

Signature of Bank Account Holders

Acknowledgement Slip To The Investor [To Be Retained By The Investor] (to be filled up the investor)

Sundaram Asset Management II Floor, 46 Whites Road, Chennai - 600 014. Toll Free: 1800-425-1000 (MTNL/BSNL) Ph : (044) 28578700		Sf SUNDARAM MUTUAL									
Application Number [mandatory for non-demat account holders]:		ASBA Application Number:									
Sundaram Fixed Term Plan-AJ Plans:		<input type="checkbox"/> Growth	<input type="checkbox"/> Dividend Payout								
Date <table border="1" style="display: inline-table;"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	ASBA Application Number		
D	D	M	M	Y	Y	Y	Y				
Received from Mr./Ms./Mrs./M/s.	SCSB Account details		SCSB Stamp, Signature								
	A/C No.	Total Amount to be Blocked									
Address	Bank Name	Rs. In figures									
	Branch Name	Rs. In words									

Applications Supported by Blocked Amount

Sundaram Fixed Term Plan-AJ

1. **Applications Supported by Blocked Amount or ASBA facility:** An application containing an authorization given by the Investor to block the application money in his/her specified bank account towards the subscription of Units offered during the NFO(New Fund Offer) of a Scheme. If an investor is applying through ASBA facility, the application money towards the subscription of Units shall be debited from his specified bank account only if his/her application is selected for allotment of Units.

It is an additional payment option that the investor can use in addition to the existing modes (Cheque/Demand Draft etc) for buying Units during NFO. It is available only to individuals. It is not available for subscribing to Units on an ongoing basis after the NFO. For availing this option the bank, where the investor has an account, should be a Self Certified Syndicate Bank (SCSB)

2. **"Controlling Branches (CBs) of the Self Certified Syndicate Banks (SCSB):** Controlling Branches (CBs) are the branches of the SCSBs acting as coordinating branches for the Registrar and Transfer Agent of Sundaram Mutual Fund schemes, the AMC and the Stock Exchange(s) for the ASBA facility offered during the NFO period.
3. **"Designated Branches (DBs) of the SCSBs:** Designated Branches (DBs) are the branches of the SCSBs which shall collect the ASBA Application Forms duly filled by the Investors towards the subscription to the Units of the Scheme offered during the NFO. The list of these Designated Branches is available at <http://www.sebi.gov.in/pmd/scsb.pdf> and www.sundarammutual.com
4. **"Self Certified Syndicate Bank" or SCSB:** Self Certified Syndicate Bank/ SCSB means a bank registered with SEBI to offer the facility of applying through the ASBA process. ASBAs can be accepted only by SCSBs, whose names appear in the list of SCSBs as displayed by SEBI on its website at www.sebi.gov.in.
5. **The ASBA facility during NFO:** In respect of New Fund Offer (NFO) of Schemes/Plan(s) launched on or after October 1, 2010, an investor (being an Individual) can subscribe to the NFO through Applications Supported by Blocked Amount (ASBA) facility by applying for the Units offered in the ASBA Application Form and following the procedure as prescribed in the form. For details please refer to the Section "Applications Supported by Blocked Amount (ASBA) facility," below and also the Application Form/KIM of the Scheme

Applications Supported by Blocked Amount (ASBA) facility: Pursuant to SEBI Circular dated SEBI/IMD/CIR No 18 /198647 /2010 March 15, 2010, an investor can subscribe to the New Fund Offer (NFO) launched on or after October 1, 2010 through ASBA facility by applying for the Units in the ASBA Application Form and following the procedure as prescribed in the form.

ASBA is an application containing an authorization given to the Bank by the Investor to block the application money in his/her specified bank account towards the subscription of Units offered during the NFO of the Scheme of Sundaram Mutual Fund. Thus, for an investor who applies through ASBA facility, the application money towards the subscription of Units shall be debited from his specified bank account only if his/her application is selected for allotment of Units. The blocked amount cannot be withdrawn and will earn interest as per account terms applicable. It may be noted that since ASBA Facility is pursuant to an arrangement between the Investor and his/her Bank, Sundaram Mutual Fund, or its Trustee/AMC/ its Employees/Directors will not be responsible for any delay, error or omission/commission, or deficiency if any, on the part of the Investor's Bank.

Benefits of Applying through ASBA facility

- Writing cheques / demand drafts and sending them for collection etc are not required, as investor needs to submit ASBA application Form accompanying an authorization to block the account to the extent of application money towards subscription of Units. The balance money, if any, in the account can be used for other purposes by the investors.
- Release/Unblocking of blocked funds after allotments done instantaneously.
- Unlike other modes of payment, ASBA facility prevents the loss of interest income on the application money towards subscription of Units as it remains in the bank account of the investor till the allotment is made.
- Refunds of money to the investors do not arise as the application money towards subscription of Units gets transferred only to the extent of amount payable for the actual allotment
- The investor deals with the known intermediary i.e. his/her own bank.
- The application form is simpler as the application form for ASBA will be different from the NFO application form.

ASBA Procedure:

- An Investor intending to subscribe to the Units of the NFO through ASBA, shall submit a duly completed ASBA Application Form to a Self Certified Syndicate Bank (SCSB) with whom he/she has a Bank Account.
- The ASBA Application Form towards the subscription of Units can be submitted through one of the following modes.
 - Submit the form physically with the Designated Branches (DBs) of the SCSB ("Physical ASBA"); or
 - Submit the form electronically through the internet banking facility offered by the SCSB ("Electronic ASBA").
- An acknowledgement will be given by the SCSB in the form of the counter foil or specifying the application number for reference. (Note: Such acknowledgement does not guarantee, in any manner that the investors will be allotted the Units applied for. Further, if the bank account specified in the ASBA Application Form does not have sufficient credit balance to meet the application money towards the subscription of Units, the Bank shall reject the ASBA Application form.)

- On acceptance of Physical or Electronic ASBA, the SCSB shall block funds available in the bank account specified to the extent of the application money specified in the ASBA Application Form.
- The application money towards the Subscription of Units shall be blocked in the account until (i) Allotment of Units is made or (ii) Rejection of the application.
- SCSBs shall unblock the bank accounts (i) for Transfer of requisite money to the NFO bank account against each valid application on allotment or (ii) in case the application is rejected.
- The list of SCSBs and their DBs where ASBA application form can be submitted is available on the websites of BSE (www.bseindia.com), NSE (www.nseindia.com) and SEBI (www.sebi.gov.in) and shall also be given in the ASBA application form.

Note: No request for withdrawal of ASBA application form made during the NFO Period will be allowed.

List of banks providing ASBA facility

- | | |
|-------------------------------------|--------------------------------------|
| 1. Axis Bank Ltd | 17. Andhra Bank |
| 2. State Bank of Hyderabad | 18. HSBC Ltd. |
| 3. Corporation Bank | 19. Kotak Mahindra Bank Ltd. |
| 4. State Bank of Travencore | 20. Bank of India |
| 5. IDBI Bank Ltd. | 21. CITI Bank |
| 6. State Bank of Bikaner and Jaipur | 22. IndusInd Bank |
| 7. YES Bank Ltd. | 23. Allahabad Bank |
| 8. Punjab National Bank | 24. Karur Vysya Bank Ltd. |
| 9. Deutsche Bank | 25. The Federal Bank |
| 10. Union Bank of India | 26. Indian Bank |
| 11. HDFC Bank Ltd. | 27. Central Bank of India |
| 12. Bank of Baroda | 28. Oriental Bank of Commerce |
| 13. ICICI Bank Ltd | 29. Standard Chartered Bank |
| 14. Vijaya Bank | 30. J P Morgan Chase Bank, N.A. |
| 15. Bank of Maharashtra | 31. Nutan Nagarik Sahakari Bank Ltd. |
| 16. State Bank of India | 32. UCO Bank |

Note: For the scheme HDFC Bank Limited and Kotak Mahindra Bank Limited have consented to process the ASBA application form.

Grounds for Technical Rejections of ASBA application forms ASBA

Grounds on which Application Forms can be rejected, at the discretion of Sundaram Mutual Fund/ Registrar and Transfer Agent of Sundaram Mutual Fund or SCSBs include, but are not limited to-

- Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane/insolvent persons or where the Bank Account concerned is the subject matter of any attachment / restraint order by a Court or a competent authority under any law etc.
- Mode of ASBA i.e. either Physical ASBA or Electronic ASBA not selected or ticked properly.
- The ASBA Application Form is without the stamp of the SCSB.
- Application by any person/entity outside India if which is not in compliance with applicable foreign and Indian laws/Regulations.
- Bank account details not given or incorrect/incomplete details given.
- Relevant Legal Documents (such as Duly certified Power of Attorney, if applicable), not submitted along with the ASBA application form.
- No corresponding records available with the Depositories matching the parameters namely
 - Names of the ASBA applicants (including the order of names of joint applicants holders)
 - DP ID (c) Beneficiary account number or any other relevant details pertaining to the Depository Account.

Mechanism for Redressal of Investor Grievances: All grievances relating to the ASBA facility may be addressed to the respective SCSBs, giving full details such as name, address of the applicant, number of Units applied for, counterfoil or the application reference given by the SCSBs, DBs or CBs, amount paid on application and the Designated Branch or the collection centre of the SCSB where the Application Form was submitted by the ASBA Investor.

If the SCSB is unable to resolve the grievance within reasonable time, it shall be addressed to the Registrar and Transfer Agent Sundaram BNP Paribas Fund Services (SBNPPFS) with a copy to the Investor Service Department of Sundaram Asset Management Company Limited

Investor Relations Manager

J. Esther Priya

Head - Customer Services
Sundaram Asset Management Company Limited
Sudarshan Building Annex, II Floor
New No.27, Old No.14, Whites Road, Royapettah, Chennai- 600 014.
Telephone: (044) 28578700; Fax: (044) 28582200
Toll Free No: 1800 - 425 - 1000
Email us at : service@sundarammutual.com

Applications Supported by Blocked Amount

Sundaram Fixed Term Plan-AJ

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Investor Relations Manager

J. Esther Priya

Head - Customer Services
Sundaram Asset Management Company Limited
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New No.27, Old No.14, Whites Road, Royapettah, Chennai- 600 014.
Telephone: (044) 28578700; Fax: (044) 28582200
Toll Free No: 1800 - 425 - 1000
Email us at : service@sundarammutual.com

PIN Agreement & Email ID To Transact Online & Receive E-Mail Account Statement

Please read the terms & conditions of PIN on this page before signing the application form. PIN will be sent to all new investors along with account statement

Existing investors: As a one-time exercise, please fill this form and call Toll Free Number 1800 425 1000 to enable our service team pick up the form

A Personal Identification Number – PIN – is a must for effective and speedy transacting online in a mutual fund's products. Do you have one? If you wish to receive a PIN, you can read the PIN agreement attached to this communication and sign at the designated place. On receipt of the same, a PIN will be sent to you at the postal address noted in our records. The PIN will help an investor use the Invest Online facility at www.sundarammutual.com to buy, sell and switch units, to name a few services. The PIN is a vital requirement to do online investment transactions in a safe, secure and convenient way.

We also offer the facility of sending account statements by email. In case you wish to do so, please furnish your Email ID and sign at the appropriate place in the attached document.

We do encourage you to use a PIN to execute your transactions online and sign up for sending the account statements by email as by increasing the share of paperless transactions in the economy you will be making a vital contribution to a cleaner and healthier environment.

Investor Information

Agreement Date

Name																
Folio No						Mobile Number						Date	DD	MM	YY	YY
E-Mail Address																

Agreement for Personal Identification Number – PIN

For detailed PIN Agreement, visit: www.sundarammutual.com

This Agreement between Sundaram Mutual Fund having its office at 46 Whites Road, II Floor, Chennai - 600014 (hereinafter referred to as "the Fund") and the investor (whose details are available in this agreement form hereinafter referred to as "the unit holder") (hereinafter referred to as the Agreement). The date of this agreement is indicated in the panel on Investor Information in this form. WITNESSTH:

WHEREAS: The Fund and the Unit holder have entered into a Unit Purchase Agreement, whereby the Unit holder has agreed to purchase units in the Fund;

AND WHEREAS: The Fund, through Sundaram BNP Paribas Fund Services Limited, acting as its Registrar and Transfer Agent (hereinafter referred to as "Registrar") offers the facility of a Personal Identification Number (hereinafter referred to as "PIN") for convenience of unit holders

AND WHEREAS: The unit holder has indicated to the Fund that he/she/it wishes to avail of the PIN facility offered by the Fund, subject to the terms and conditions stated in the application form and has for this purpose, indicated to Fund the name of his/her/its Bank and Branch Account Number;

AND WHEREAS: The Fund is willing to extend the PIN facility to the Unit holder on the terms and conditions as set out herein;

Now THEREFORE, in consideration of the mutual understanding as set forth in this Agreement, the parties hereto have agreed to the following terms and conditions:

The PIN granted to the Unit holder pursuant to this Agreement shall be communicated to the Unit holder by a reliable courier and entirely at the risk of the Unit holder.

The following shall be the mode of operation of the PIN facility:

- a If the unit holder is a company, an institution/ trust or body corporate, the authorised signatories may designate any individual as designated person and his personal details should be submitted to the Registrar
- b If the mode of payment is the first holder only, PIN facility will be made available to the first holder
- c If the mode of operation is joint, all the unit holders may designate only one of them to operate this facility. In such case, the personal details of the such a person shall be submitted to the registrar
- d If the Unit holder is a minor, then the Natural Guardian or the Guardian appointed by the Court alone shall be eligible to operate the PIN facility. In such a case the personal details of the Guardian shall be submitted to the Registrar.

The Unit holder may access the following services through the Internet, using the PIN facility: (a) purchase including switch in (b) redemption including switchover (c) Access to NAV Information (d) Access to balance Information (e) Ability to print Account Statements in a client-independent standard format (f) Links to the other Internet / web-sites of the Fund (g) Ability to email service requests to the Transfer Agent.

The Fund will take best efforts to keep the Fund's web-site updated on a daily basis so as to provide most current information to unit holders. However, the Unit holder agrees that because of communications issues, it is possible that the site may not be current on occasions. The Unit holder also agrees that the look and feel of the Web screen and outputs therefrom may differ based on the nature of the software used by the Unit holder to browse the site. The Fund agrees to take best efforts to protect security of the data placed on the Internet and has for this purpose, required the web service provider engaged by the Registrar to sign a confidentiality agreement with the Registrar specifying confidentiality of the data and to restrict external access to the database on the Internet. The Registrar shall ask the Unit holder for his / her / its name and Folio Number, address or such particulars to ensure the authenticity before accepting instructions on behalf of Fund.

The Registrar may, in the interest of the Unit holder, request a fax confirmation on the instructions received and shall not act until the said fax confirmation and additional confirmation is received from the Unit holder. The Unit holder shall be responsible for safekeeping of the PIN and shall not disclose his / her / its PIN to any person and shall take all possible care to prevent discovery of the PIN by any person. The Unit holder shall not use his / her / its PIN after the disclosure of the same to any third party.

In the event of accidental disclosure of the PIN to any third party or if the unit holder forgets his password, the Fund may at the request of the unit holder and at its absolute discretion issue to the Unit holder a new PIN on these terms and conditions or under such terms and conditions, as the Fund may deem fit. The Unit holder shall be fully liable to the Fund for every transaction entered into using the PIN facility, whether with or without the knowledge of the Unit holder. The Unit holder hereby releases the Fund and the Registrar from any liability whatsoever against misuse of the PIN. Further, neither the Fund nor the Registrar shall be liable at all for any misuse if any, of any data placed on the Internet, by third parties "hacking" or unauthorisedly accessing the server. The Unit holder shall at all times indemnify the Fund, its officers, employees, successors and assigns from and against all actions, proceedings, claims and demands whatsoever for or on account of or in relation to any unauthorised use of the PIN and from and against all damages, costs, charges and expenses in respect thereof, unless the same is caused by gross negligence, bad faith or wilful default on the part of the Fund.

The Unit holder acknowledges and agrees that Sundaram Investment Manager/Fund and or its Registrars or the Service Providers does not guarantee or warrant that Unit holder will not experience any downtime or disruptions in its use of the Service. In the event of any such downtime or disruption, the Investment Manager/Fund or its Registrar or its service providers shall use commercially reasonable efforts to reinstate accessibility and operability. Investment Manager/Fund or its Registrar or its service providers may restrict, suspend, or terminate investor's access to and use of the Service at any time, without notice or liability. The Unit holder agrees that its right to use the Service is non-exclusive, revocable, non-transferable, and non-sub-licence able.

The Unit holder agrees to be bound by any terms of use, disclosures, or disclaimers displayed by the Investment Manager/Fund/ Registrar and its service providers and any that Unit holder may affirmatively click through to accept. The investor's use of the Services shall be subject to the terms and conditions of any applicable agreements between Registrar, Service Providers and the Investment Manager/Fund and/or investor.

Unit holder shall not: (A) access or use the Service for any purpose inconsistent with the substance and terms of this Agreement; (B) introduce into the Service any code, virus, or mechanism that would impair the Service or Investment Manager's/Fund system, computers or software; (C) use the Service to gain unauthorized access to any system or database; (D) sublicense or otherwise transfer or make available Investor's access to the Service to any third party that is not an Authorized Person (E) disclose to any third party non-public information relating to the content or operation of the Service, which information is confidential and proprietary to the Investment Manager/Fund; or (F) remove or obscure any of Investment Manager's/Fund or Registrars or any Service Provider's trademarks, service marks, or markings of copyright or patent rights contained in the Service. In the case of any disputes, the matter will be referred to arbitration at the first instance and settled through arbitration proceedings as per the rules of the Indian Arbitration and Conciliation Act, 1996. The Place of Arbitration will be at Chennai. The Courts in Chennai shall have jurisdiction over all disputes arising out of or in respect of this Agreement.

In Witness Whereof, the parties to this Agreement have caused these presents to be executed as of the day and year first above written.

Signature			Signed for and on behalf of Fund
First Applicant	Second applicant	Third Applicant	

Collection Centers

Offices of Sundaram Asset Management

Agra: Block no.41/4, Shop no.9, 1st floor, Friends Tower, Sanjay Palace Agra – 282002 Ph: 9319145256 **Ahmedabad:** 104,Arth Complex, Behind A.K. Patel House, Mithakali Six Roads, Navarangapura, Ahmedabad 380009. Ph: 079-66613337/26440442 **Amristar:** C/O Sundaram Direct, Hall No-2, 27 Classic Plaza, Above Punjab National Bank, Majitha Road, Amritsar 143001. Ph: 9814767182 **Anand:** M/s.Sundaram Direct, F-1/1 Suramya Complex, Opp. C.P. Travel & Tours, Nr. Masada Bakery, Anand - V.V. Road, Anand. Ph: 9327583372 **Bengaluru:** 2nd Floor, Phoenix Crescent, 10, Rest House Road, (Near ARMY Inspection Bungalow), Bengaluru 560001. Ph: 080-25599673/74/75 **Baroda:** 127,1floor,Siddharth Complex, Near Express Hotel, R.C.Dutt Road, Alkapuri, Baroda 390007 Ph: 0265-3203204 / 2320671 **Bhavnagar:** C/O Sundaram Finance Limited, G-5 Aristo complex, Opp madhav darshan, Near Radha Mandir, Waghavadi Road. Bhavnagar-364001. Ph: 0278-2513494/9825107110 **Bhopal:** Plot No. 10&11, 3rd floor, Alankar palace, Bank street, M.P.Nagar, Bhopal 462011. Ph: 0755-3203306 **Bhubaneswar:** Office No-16, 2nd Floor, Deendayal Bhawan, Ashok Nagar, Bhubaneswar-751009. Ph: 0674-2530577 **Calicut:** 3rd Floor, 17/501 R2, Kanchas Building, Rajai Road, Calicut - 673001. Mob:09847582339 **Chandigarh:** SCO-2475-2476, 2nd Floor, Sector 22C, Chandigarh-160022. Ph: 0172-5009166/3206890 **Chennai - H.O.:** Sundaram Towers, II Floor 46, Whites Road, Royapettah, Chennai - 600014. Ph: 044 - 28583362 / 28569900 **Chennai Sales Office:** Old No; 20, New No: 14, T.V.K. III Street, Royapettah, High Road, Opp; Kesari High School, Chennai 600 014. Ph: 044-24988740/24988741/24988742 **Cochin:** 'Radhika' Opp. to Axis Bank Rajaji Road, Cochin - 682035. Ph: 0484-4027747/48 **Coimbatore:** No 41-A, I Floor, West Lokmanya Steet, R.S.Puram, Coimbatore 641002. 0422-4360058/2542816 **Dehradun:** C/o Sundaram Finance Ltd., 57/19,II Floor, Shiva Palace, Rajpur Road, Dehradun 248001. Ph: 0135-3203262 / 9719166626 **Dubai:** Representative Office, Office No.204, 2nd Floor, Above Ravi Darbar Restaurant at Burjuman Signal, Opp.Burjuman Centre and at the Exit of Khalid Bin Al Waleed Metro Station, P.O. – 124337, Khalid Bin Al Waleed Street, Bur Dubai, Dubai (UAE) Phone No. # +971 4 396 1469 **Goa:** Shop No.F30, D Block, 1st Floor, Alfran Plaza, Panaji, Goa-403001. Ph: 9860817771 **Guwahati:** Anandi Commercial Complex, 2nd Floor, Bora Service, G.S.Road, Guwahati - 781007 Ph: 0361-2465591 **Gwalior:** C/o. Sundaram Finance, 2nd Floor,44 City Centre, Narayan Krishna Madhav Rao Scindia Marg, Gwalior 474002. Ph: 9826857737 **Hosur:** No-122-2-C,Abinav Towers,(Opp) C S I - Church,Denkankotta Road, Shanthi Nagar, Hosur 635 109. Ph: 99444 82055. **Hubli:** Shop no 005, Kundagol Complex, Court Circle, Hubli, Karnataka 580029. Ph: 0836-3247428 / 9972919712 **Hyderabad:** V V Vintage Boulevard, F.No.203, 2nd Floor, Raj Bhavan Road, Somajiguda, Hydrebad 500082. Ph: 040-23393669 / 23390815 / 23397600 **Indore:** 125,Starlit Towers, 29/1 Y.N.Road, Indore 452001. Ph: 0731-3202029/4224546 **Jabalpur:** C/o. Sundaram Finance, 'Mangalam' 1st Floor, 103/3, Shastri Bridge Road, Napier Town, Jabalpur 482001, Ph: 9826777917 **Jaipur:** 303, 3rd Floor, Brij Anukampa Towers, Ashok Marg, C-Scheme, Jaipur 302011. Ph: 0141-5118364/3213853 **Jalandhar:** C/o Sundaram Finance Ltd., 5E, 1st Floor, Session Court Road, Near BMC Chowk, Jalandhar 144001. Ph: 0181-3248520/9872071282 **Jamshedpur:** Shop No.5/B, 3rd Floor, Meghdeep Building, Beside Hotel South Park, 'Q' Road,

Bistupur, Jamshedpur - 831001. **Jodhpur:** 116, 1st floor, Mody Arcade, Chopasani Road, Jodhpur- 342003. Ph: 0291-2612168 **Kanchipuram:** Door No:174-175 (First Floor), Gandhi Road, (Near Pachayappa Silks), Kanchipuram 631 501. **Kanpur:** 2nd Floor, 218, 219 Kan Chambers, 14/113, Civil Lines, Kanpur 208001. Ph: 0512-3018530 / 3013530 **Kolkata:** Block No-6, 3rd Floor, Azimgunj House, 7,CAMAC Street, Kolkatta 700071. Ph: 033-30580160 / 61 / 62 / 63 / 22816707 / 22835504 **Lucknow:** 04, UGF, Sky Hi Chamber, 5-Park Road, Lucknow-226001. Ph 0522 - 4060004 / 4040002 **Ludhiana:** SCO - 18, 4th Floor, Feroze Gandhi Market, Opp. Ludhiana Stock Exchange, Ludhiana-141001. Ph: 0161-3018500 / 2402021 **Madurai:** No: 183C, North Veli Street, Opp: Duke Hotel, Madurai 625001. Ph: 0452-4376801/4377478 **Mysore:** #21, 1st Floor, Prashanth Plaza, 3rd Main, 5th Cross, Saraswathipuram, Mysore 570 009, Ph: +91 9731244338 **Mumbai:** 606, 6th Floor, Dalamal House, Nariman Point, Mumbai 400 021. Ph: 022-22842878 / 22842879 / 22833863 / 22842832 • B-2/ 202, 2nd Floor, Marathon Innova NextGen Ganpatrao Kadam Marg, Opp. Peninsula Corporate Park, Lower Parel, Mumbai -400 013. Ph: 022-24820300/ 24986200 **Nagpur:** C/O Fortune Business Centre, I Floor, 6 Vasant Vihar, W H C Road, Shankar Nagar, Nagpur-440010. Ph: 0712 - 2567346 / 2558581 Mob: 09922992842 **Nashik:** L-17, Suyojit Sankul, Near Rajiv Gandhi Bhavan, Sharanpur Road, Nashik 422002. Ph. 0253-3012267 / 3012268 / 2311142 **New Delhi:** 602, 6th Floor, Ashoka Estate, 24, Barakhamba Road, New Delhi 110 001. Ph: 011-41515138 / 41515139 **Patna:** 205, Ashiana Hari Niwas, New Dak Bungalow Road, Patna 800001. Ph: 0612-3200593 **Pondicherry:** C/o. Sundaram BNP Paribas Home Finance Ltd, 40, Mission Street, Pondicherry 605001. Ph: 0413-2221900 **Pune:** 1st Floor, Rachana Trade Estate, Erandwane, Opp Swad Hotel, Pune 411004. Ph: 020-30280927 / 28 / 29 / 30 **Raipur:** Millenium Plaza, Office No 26, 3rd Floor, Behind Indian Coffee House, Raipur – 492001, Ph: 0771-4263615 **Rajkot:** 202-Business Terminal Complex, Opp. Ram Krishna Ashram, Dr. Yagnik Road, Rajkot - 360001 Ph: 0281 3012578 **Salem:** New No.210 Old No315C, AVK Arcade, Omalur Main Road, Opp, to New Bus Stand, Salem 636004. Ph: 0427-4042827 / 9843081847 **Surat:** L-14, Jolly Plaza, Opp- Athwa Gate Police Station, Athwa Lines, Surat 395001. Ph: 0261-2461384 / 85 **Thrissur:** XXVIII / 85 / 63, Avokaran Arcade Patturaickal, Thrissur – 680022. Ph: 9947044699 **Tirunelveli:** 25 N / 1,S.N.High Road, Near Sripuram Bus stop Sripuram Tirunelveli – 627001 Ph : 0462-4220034 **Trichy:** Krishna Complex, 1st Floor, 60, Shastry Road, Tennur, Trichy 620017. Ph: 0431-2741509/4020828 **Trivandrum:** C/O Sundaram Finance Ltd., Kairali Plaza, First Floor, Killipalam, Karamana P O, Trivandrum 695002. Ph: 0471-2342686 / 2342687 **Udaipur:** 303, 3rd Floor Ridhi Sidhi Complex, 4 - C Madhuban, Udaipur 313001. Ph: 0294- 2421880. Ph: 9983503283 **Varanasi:** Flat No; 7, 2 ND Floor, Rama Kunj, C-32-22/17, Ram Sing Rana Nagar Colony, Cantt Siga Road, Varanasi-221002. Ph: 99352-43721 **Vellore:** No: 67/1, 2nd Floor, Officer's Line (Near Lakshmi Theatre), Opp: PATC Depot, Vellore 632 001. Ph: 0416-2229471, 2217852 **Vijayawada:** D.No. 40-10-5, Sree Ramachandra Complex, Benz Circle, Bajaj Showroom Lane, Vijayawada 520010. Ph: 0866-2470778 / 9848032734 **Vizag:** 47-10-10, 2nd Floor Rednam Regaency, Near Diamond Park, Dwarakanagar, Visakhapatnam 530016. Ph: 0891-3209440 / 98480 35892

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